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## 22 **1 Introduction**

23 For the assessment of future climate change impacts on land-use patterns and agricultural  
24 markets, the AgMIP project (agmip.org) (Rosenzweig, et al., 2013b) together with the ISI-MIP  
25 project (isi-mip.org) has conducted multi-model simulations with harmonized data on future  
26 yield changes. These scenarios are designed to assess the upper end of climate change impacts,  
27 therefore addressing only a high-emission scenario, RCP8.5 (Moss, et al., 2010, Riahi, et al.,  
28 2011) and without considering possible growth-enhancing effects of higher atmospheric carbon  
29 dioxide concentrations ( $[CO_2]$ ), which are subject to large uncertainties (Long, et al., 2006,  
30 Tubiello, et al., 2007) and require adjustments in management (Ainsworth and Long, 2005). The  
31 assessment of future climate change impacts on agricultural productivity is complex and the  
32 many interacting processes contribute to their large uncertainty (Müller, 2011, Müller, et al.,  
33 2011, Rötter, et al., 2011, Roudier, et al., 2011, Knox, et al., 2012). To account for the degree of  
34 uncertainty in climate projections (Randall, et al., 2007, Hawkins and Sutton, 2009, Hawkins and  
35 Sutton, 2011) and in yield projections (Rötter, et al., 2011), we supply yield projections from 2  
36 global crop growth models, DSSAT (Jones, et al., 2003, Hoogenboom, et al., 2004) and LPJmL  
37 (Bondeau, et al., 2007, Fader, et al., 2010, Waha, et al., 2012, Schaphoff, et al., 2013) for 2  
38 implementations of the RCP8.5 emission scenario in general circulation models (GCMs),  
39 HadGEM2-ES (Jones, et al., 2011) and IPSL-CM5A-LR (Dufresne, et al., 2013). These 2 crop  
40 models (DSSAT as a widely used field scale model and LPJmL as a widely used ecosystem based  
41 model) represent the two different groups of gridded global crop models. For more details on  
42 the differences between these model groups, see Rosenzweig et al. (2013a).

43 For global economic models, these biophysical climate change impacts on yields need to be  
44 aggregated and assigned to appropriate spatial and thematic entities, taking into account the

45 variability of data requirements across the global economic models. This paper describes the  
46 simulation of changes in crop productivity under climate change and the aggregation of these  
47 simulation results for use in the ten global economic models that participate within this AgMIP  
48 project (Nelson, et al., 2013, von Lampe, et al., 2013) but also beyond.

## 49 **2 Methods**

### 50 **2.1 Climate data**

51 The high end emission scenario used here, the representative concentration pathway with a  
52 radiative forcing of  $8.5 \text{ Wm}^{-2}$  (RCP8.5) (Moss, et al., 2010) reaches atmospheric carbon dioxide  
53 concentrations ( $[\text{CO}_2]$ ) of 540 ppm in 2050 and of 935 ppm in 2100. These emission scenarios  
54 have been implemented by various climate models (general circulation models, GCM, and earth  
55 system models, ESM) and have been supplied by the C5MIP project at [http://cmip-](http://cmip-pcmdi.llnl.gov/cmip5/)  
56 [pcmdi.llnl.gov/cmip5/](http://cmip-pcmdi.llnl.gov/cmip5/) (Taylor, et al., 2012). Differences in the climate models lead to a spread in  
57 simulated temperature increase for a given greenhouse gas emission scenario (climate  
58 sensitivity) and in the variability (daily, monthly, seasonal) and spatial patterns of change in  
59 climate variables (Hawkins and Sutton, 2009, Hawkins and Sutton, 2011). Figures 1-4 show the  
60 change in temperature and precipitation patterns from the reference period (1980-2010) to the  
61 2050s (2035-2065) for the HadGEM-ES2 (Jones, et al., 2011) and IPSL-CM5A-LR (Dufresne, et al.,  
62 2013) models as used here. These climate scenarios differ not only in the spatial patterns of  
63 climate change, but also in the seasonal distribution. At the level of food production units (FPU)  
64 (Cai and Rosegrant, 2002), seasonal temperatures can increase homogeneously or  
65 heterogeneously, in which cases winter temperatures typically rise more strongly than summer  
66 temperatures. Differences in temperature increase by 2050 between seasons can be up to  $5.4 \text{ }^\circ\text{C}$   
67 and  $2.6^\circ\text{C}$  for HadGEM-ES2 and IPSL-CM5A-LR, respectively. Annual mean temperatures in the

68 different FPU are projected to increase by 1.45°C to 5.48°C (4.79°C) from 1990 (1981-2010) to  
69 2050 (2035-2064), while changes in annual precipitation can range between increases of 49%  
70 (106%) and decreases of 29% (50%) in the HadGEM-ES2 (IPSL-CM5A-LR) scenario. A table with  
71 projected annual and seasonal climate change per FPU is supplied in the supporting online  
72 material.

73 << Figure 1 about here >>

74 Climate scenarios were selected based on availability of bias-corrected data sets from the ISI-  
75 MIP project. Bias correction was conducted to correct for over- and underestimation of climate  
76 variables and their daily variability in each 0.5° \* 0.5° pixel of the land surface (about 55.5km \*  
77 55.5km at the equator). The bias correction method builds on Piani et al. (2010) but preserves  
78 absolute temperature and relative precipitation changes. The WATCH data set, which provides a  
79 good representation of real meteorological events and climate trends (Weedon, et al., 2011)  
80 served as reference climate here. Monthly mean values were corrected with absolute  
81 (temperature) and relative (precipitation, radiation) offsets that correct for each month's  
82 difference between the 40-year mean of the GCM-simulated historic period (1960-1999) and  
83 that of the observation-based values of the WATCH data. For daily minimum and maximum  
84 temperatures, also the mean distance between these 2 values is preserved in the historic  
85 period. Daily variance within a month was corrected to match observations in the historic  
86 period. More details on the bias correction procedure and the selection of climate and reference  
87 data can be found in the work of Hempel, et al. (2013).

88 Both crop growth models were driven by the climate data provided by ISI-MIP (daily data from  
89 1951 to 2099) and a standard set of management data, in order to simulate current  
90 management systems.

91 LPJmL was driven with the daily ISI-MIP data on daily mean temperature (T), precipitation (PR),  
92 shortwave downward radiation ( $SW_{down}$ ) and longwave net radiation ( $LW_{net}$ ). As longwave net  
93 radiation was not supplied by ISI-MIP, we computed it from the supplied longwave downward  
94 radiation ( $LW_{down}$ ) and computed longwave upward radiation ( $LW_{up}$ ) from surface temperatures,  
95 which we assumed to be equal to daily mean temperatures (T) following the Stefan-Boltzmann-  
96 law (equation 1).

$$97 \quad LW_{net} = LW_{up} - LW_{down} = \sigma T^4 - LW_{down} \quad (1)$$

98 Where  $\sigma$  is the Stefan-Boltzmann constant of  $5.670373 \times 10^{-8} \text{ W m}^{-2} \text{ K}^{-4}$ .

99 Daily weather information for DSSAT (Tmax, Tmin, PR,  $SW_{down}$ ) was obtained in an indirect  
100 manner using a random weather generator (SIMMETEO) (Geng, et al., 1986, Geng, et al., 1988).  
101 The weather generator uses monthly and annual averages for climate variables (maximum and  
102 minimum temperatures, solar radiation, rainfall, and rainy days per month) to generate  
103 plausible daily realizations. The averages for each of these variables were obtained by taking the  
104 bias-corrected daily weather data from the GCMs (i.e., the data used for LPJmL) and aggregating  
105 over the appropriate years around the time intervals to be investigated (1981-2010 and 2035-  
106 2065). Random weather was generated for 80 growing seasons which resulted in 80 yields at  
107 each location modeled with the overall yield taken as the average over all 80 outcomes.

## 108 **2.2 Computing climate change impacts on yields**

109 For the simulations of climate change impacts in economic models, data on yield shifters is  
110 supplied for the entire global land surface (LPJmL) or current agricultural land (DSSAT) for all  
111 crops covered by the models for fully irrigated (i.e. no constraints on water supply) and rain-fed  
112 systems. To represent the assumed ineffectiveness of  $CO_2$  fertilization at field scale, we here

113 keep the atmospheric CO<sub>2</sub> concentrations constant at the baseline level which was separately  
114 set at 370ppm for LPJmL and 369ppm for DSSAT after the year 2000.

### 115 **2.2.1 DSSAT**

116 DSSAT is a framework for crop models that employs daily time-step weather information and  
117 keeps track of hydrology and nutrient cycles using a soil module which considers a variety of soil  
118 characteristics (Jones, et al., 2003, Hoogenboom, et al., 2004). We employed the CERES models  
119 for rice (*Oryza sativa*), wheat (*Triticum* spp.), and maize (*Zea mays*) and the CROPGRO models  
120 for soybeans (*Glycine max*) and groundnuts (*Arachis hypogaea*).

121 *Soil.* DSSAT's soil module employs a soil profile definition that details the various soil layers and  
122 their properties. The most salient of these characteristics are the soil depth, water parameters  
123 (lower limit, drained upper limit, and saturation), root growth factor, bulk density, organic  
124 carbon content, and texture fractions. Our approach employs generic soil profiles with typical  
125 values chosen for these quantities.

126 The twenty-seven generic profiles are a combination of three by three soil characteristics. The  
127 first defining characteristic is organic carbon content with high, medium, and low values. These  
128 are combined with deep, medium and shallow soil depth cases. Finally, there are three texture  
129 types: sandy, loamy, and clay-like. For each case, e.g., “high organic carbon deep loam” or “low  
130 carbon shallow sand”, a representative soil profile is available. Each location is assigned one of  
131 these representative soils based on thresholds and rules applied to the Harmonized World Soil  
132 Database (HWSD) (FAO/IIASA/ISRIC/ISSCAS/JRC, 2012). For more details on this  
133 parameterization of soil properties in DSSAT see (Koo and Dimes, 2010).

134 *Initialization.* Soil moisture in each soil layer is set to 25% of its water holding capacity at the  
135 beginning of the simulations (supplementary Figure S5). Stable carbon pools are set based on

136 texture and depth (Basso, et al., 2011) (supplementary Figure S6-S7). Geographically distinct  
137 assumptions are made regarding root mass (supplementary Figure S8) and residues remaining  
138 from previous cropping activities (supplementary Figure S9) as well as initial soil nitrogen  
139 (supplementary Figure S10). Of these, the most influential is the initial soil nitrogen. After  
140 initialization, a spin-up period is employed. The simulation is begun 90 days prior to the  
141 anticipated planting date. The soil, hydrologic, and weather processes begin to operate and thus  
142 decrease the importance of the initial conditions.

143 *Management.* DSSAT yield simulations are not calibrated to yield statistics such as the FAO data  
144 base, but are determined by climate inputs and a set of management options, which is assumed  
145 to be static in time. The sowing dates are determined in four different ways, depending on the  
146 situation. We have developed rules which operate on monthly climate averages for  
147 temperatures and precipitation. These rules provide planting months for a generic rainfed crop  
148 (modeled on maize), a generic irrigated crop (modeled on rice; used when the rainfed rule fails),  
149 spring wheat, and winter wheat (Nelson, et al., 2010). This target planting month was  
150 determined using the climate averages from the 1981-2010 period and was used for both time  
151 periods. Adjusting planting dates was considered to be a form of adaptation that was to be  
152 captured in the economic models rather than at the biophysical stage. The actual planting date  
153 was determined by an automatic planting scheme in DSSAT. The planting window opens on the  
154 first day of the month determined by the rules. When the temperature and soil moisture fall  
155 within some predetermined bounds, the actual planting occurs, otherwise the repetition is  
156 excluded from the reported average over the 80 weather realizations.

157 Three major management considerations remain: fertilizers, irrigation, and harvesting. Nitrogen  
158 fertilizer application rate assumptions were made by geographic region (supplementary Figure  
159 S11-S13). The timing of fertilizer application was determined by rules based on the overall

160 amount to be applied and the time to flowering and maturity. Each crop had specific rules.  
161 Irrigated conditions were intended to represent a minimal water stress situation (water  
162 availability and its effects were relegated to the domain of the economic models). An automatic  
163 irrigation scheme in DSSAT was used such that when the soil moisture of the upper 0.2 m falls  
164 below 70% of the water holding capacity, sufficient water is supplied to increase soil moisture to  
165 100% of the water holding capacity. Harvest occurred at physiological maturity.

166 The CERES and CROPGRO models have the ability to represent a wide diversity in cultivars and  
167 thus particular choices had to be made concerning which varieties to use. The process was  
168 different for each crop, but usually involved several varieties along with maps indicating which  
169 geographic areas they were appropriate for. For rice a generic Japonica variety was used along  
170 with an Indica variety (supplementary Figure S14); for wheat eleven unique variety definitions  
171 were used; for maize two generic varieties were used corresponding to characteristics typical of  
172 developed world applications and the developing world; for groundnuts four varieties were used  
173 for the developing world and a single variety for the developed world; and for soybeans thirteen  
174 generic varieties were used (differentiated by maturity length) at each location, the highest  
175 yielding variety was chosen.

### 176 **2.2.2 LPJmL**

177 LPJmL is a global dynamic vegetation, hydrology and crop growth model (Bondeau, et al., 2007,  
178 Fader, et al., 2010, Waha, et al., 2012). It simulates crop yields of 12 different crops (Table 1),  
179 that represent the most important crop types globally (temperate and tropical cereals, maize,  
180 rice, pulses, temperate and tropical roots and tubers, soybeans, oilcrops) (Bondeau, et al., 2007)  
181 and sugarcane (*Saccharum* spp.) (Lapola, et al., 2009). The version used here employs the latest  
182 model improvements as a more complex soil hydrology as needed for the permafrost

183 implementation (Schaphoff, et al., 2013) and an implementation of a linear LAI-FPAR model for  
184 maize (Zhou, et al., 2002) and the minimum root-to-shoot ratios at maturity were set to 10%  
185 (based on insights from the AgMIP wheat (Asseng, et al., 2013) and maize pilots & (Prince, et al.,  
186 2001)).

187 *Soil.* The improved soil representation of the model (Schaphoff, et al., 2013) covers the upper  
188 3m of the soil in 5 layers. Rain, melt and irrigation water infiltrates at the surface and percolates  
189 to deeper layers if above field capacity. Soil temperatures are determined by a heat  
190 conductance energy model (Schaphoff, et al., 2013). Plants transpire water according to their  
191 root distribution over the soil layers (Jobbágy and Jackson, 2000), while soil water evaporates  
192 only from the upper 0.2m.

193 Soil data are taken from Harmonized world soil database (FAO/IIASA/ISRIC/ISSCAS/JRC, 2012).  
194 The classification is based on the USDA soil texture classification (<http://edis.ifas.ufl.edu/ss169>),  
195 the hydraulic soil parameters (saturated hydraulic conductivity [mm/h], water content at wilting  
196 point/field capacity/saturation) are derived from Cosby et al. (1984) and the thermal  
197 parameters (thermal diffusivity ( $\text{mm}^2/\text{s}$ ) at wilting point, 15%, field capacity (100% whc), wilting  
198 point, saturation (all water), and saturation (all ice)) from Lawrence and Slater (2008), the  
199 suction head (mm) in Green-Ampt equation following Rawls, Brakensiek and Miller (1983).

200 *Initialization.* Soil conditions are not initialized but are determined in a 200-year spin-up  
201 simulation, recycling the first 30-years of that time series for the spin-up simulation. Such long  
202 spin-up is needed to ensure that soil temperatures are in equilibrium with climate before  
203 simulations start (Schaphoff, et al., 2013). Baseline and future conditions are simulated in a  
204 single transient run from 1951 to 2099, so that soil conditions in the future period (2050s) were  
205 determined by the simulated previous years.

206 *Management*. Apart from cultivar choices (Bondeau, et al., 2007), sowing dates (Waha, et al.,  
207 2012) and irrigation, management options are not treated explicitly in LPJmL. For the  
208 representation of current management patterns, national cropping intensity has been calibrated  
209 to FAO statistics as described in Fader et al. (2010). For this, three parameters, maximum leaf  
210 area index (LAI<sub>max</sub> m<sup>2</sup> of leaves per m<sup>2</sup> of ground), a scaling factor for scaling leaf-level  
211 photosynthesis to stand level (alphaA, Haxeltine and Prentice, 1996) and the harvest index (HI)  
212 are scaled in combination. LAI<sub>max</sub> can range from 1 (lowest intensity) to 7 (highest intensity),  
213 alphaA from 0.4 to 1 and HI has a CFT specific range (Bondeau, et al., 2007), which can be  
214 reduced by up to 20%, assuming more robust but less productive varieties in production systems  
215 with low productivity (Gosme, et al., 2010).

216 Accounting for the linear LAI-FPAR model for maize (Zhou, et al., 2002) and maximum intensity  
217 levels for maize now assume a maximum leaf area index of 5 instead of 7 as described by Fader  
218 et al. (2010). Nutrient dynamics are not explicitly represented in the model. Sowing dates have  
219 been computed as in Waha et al. (2012), but have been kept constant after 1951. The model  
220 decides internally whether to grow winter or spring wheat/rapeseed on wheat/rapeseed areas.  
221 It has a preference for winter varieties, but if winters are too long, it will grow spring varieties  
222 (Bondeau, et al., 2007). Algorithms to select varieties have been adjusted as described in Müller,  
223 et al. (2013). For irrigated crops, irrigation water is assumed to be available in unlimited supply.  
224 Irrigation is triggered if soil moisture falls below 90% of the water holding capacity. Harvest  
225 occurs at maturity.

### 226 ***2.3 Aggregation and extrapolation for economic models***

227 Economic models that cover the agricultural sector work with very different resolutions of  
228 spatial, temporal and commodity resolutions, ranging from computable general equilibrium

229 models (CGE, such as AIM (Fujimori, et al., 2012), EPPA (Paltsev, et al., 2005)), partial  
230 equilibrium models (PE, such as GCAM (Thomson, et al., 2011, Wise and Calvin, 2011), IMPACT  
231 (Nelson, et al., 2010, Rosegrant and IMPACT Development Team, 2012)) to land use models that  
232 combine economic rationale with spatially explicit biophysical data on crop yields and water  
233 availability (LUM, such as MAgPIE (Lotze-Campen, et al., 2008, Schmitz, et al., 2012) and  
234 GLOBIOM (Havlík, et al., 2011, Havlík, et al., 2013)). The representation of dynamics in  
235 agricultural land productivity and the production functions affected by this consequently differs  
236 among models and is not directly compatible with the characteristics of gridded global crop  
237 models. Consequently, climate change impacts on agricultural crop yields as computed by the  
238 agricultural biophysical impact models DSSAT and LPJmL have been aggregated and also  
239 extrapolated to match information requirements in economic models, which is described in  
240 detail below. For a detailed description of the economic models that participated in this AgMIP  
241 intercomparison see Nelson, et al. (2013) and von Lampe, et al. (2013).

### 242 **2.3.1 Mapping of crops to commodities**

243 The biophysical impact models DSSAT and LPJmL explicitly simulate a variety of crops; however,  
244 they do not match up precisely with the agricultural commodities represented in agricultural  
245 economic models. Simulated dynamics in crop productivity were used to determine dynamics in  
246 land productivity of the economic models' commodities, wherever possible. For those  
247 commodities which had no direct representation in the biophysical impact models, we used  
248 simulated climate change responses in similar crops to estimate their response to climate  
249 change. Similarity was based on the type of photosynthetic pathway (distinguishing C<sub>3</sub> crops  
250 from C<sub>4</sub> crops), their main climate zone (temperate vs. tropical) and their susceptibility to  
251 drought damage.

252 Following the crop-to-commodity mapping in Table 1, we supplied information on climate  
253 impacts on agricultural land productivity for 23 commodities. DSSAT simulations provide data on  
254 5 different crops, wheat (whe), maize (mai), rice (ric), soybean (soy), and groundnut (grn). LPJmL  
255 simulations provide data for the 12 crops implemented in LPJmL, wheat, maize, rice, soybean,  
256 millet (*Pennisetum glaucum*, mill), rapeseed (*Brassica napus*, rap), sugar beet (*Beta vulgaris*,  
257 sugbe), sugar cane (sug), field peas (*Pisum sativum*, fpea), cassava (*Manihot esculenta*, cas),  
258 sunflower (*Helianthus annuus*, sunf), groundnuts, and managed grassland (gra). Both biophysical  
259 impact models supplied data separately for irrigated and rain-fed production.

### 260 **2.3.2 Spatial resolution**

261 While yield impacts have been computed on a regular geographic grid (30' resolution), most  
262 economic models require information for administrative units. Consequently, yield data have  
263 been provided at their original resolution and in aggregated form for the IMPACT food  
264 production units (FPUs)(Cai and Rosegrant, 2002), which could then be further aggregated to  
265 the individual economic models' needs. For the aggregation to FPUs, we used actual physical  
266 production areas for the individual crops from the SPAM data set, version 2 (You, et al., 2010).  
267 Combining the areas and yields allows us to calculate the regional area-weighted average yield  
268 for each case. Most crops simulated by LPJmL and DSSAT here could be aggregated using crop-  
269 specific land-use patterns from SPAM, however, sunflower and rapeseed yields were aggregated  
270 with the areas of *other oilcrops* in SPAM as no crop-specific area data are available for these  
271 crops.

272 Using production area information, rain-fed and irrigated crop production as simulated by the  
273 models could also be aggregated to overall crop productivity if the economic model does not  
274 distinguish rain-fed from irrigated production.

### 275 **2.3.3 Temporal resolution**

276 Due to the differences in handling the climate and weather data, the yields entering the climate  
277 change impact calculations are aggregated slightly differently for the two crop models. While  
278 LPJmL simulated agricultural productivity of crops in a transient run from 1951-2099, DSSAT  
279 simulated only the year 2000 and the year 2050, using 80 realizations of daily weather variations  
280 from its weather generator (Geng, et al., 1986, Geng, et al., 1988). We used the average of 2000  
281 to 2009 to represent the LPJmL crop productivity in 2000 and the average of 2050 to 2059 for  
282 2050.

283 To fill the years between 2010 and 2050 for DSSAT simulations, the climate change induced  
284 changes in agricultural productivity were supplied as annual growth rates ( $g$ ) from simulated  
285 reference ( $p_{ref}$ ) and future production ( $p_{fut}$ ), following equation 2 so that climate change impacts  
286 can be computed for any year between 2010 and 2050 in the economic models.

$$287 \quad g = (p_{fut} / p_{ref})^{1/40} - 1 \quad (2)$$

288 For reasons of harmonization, data from LPJmL were also supplied in form of an annual growth  
289 rate. Additionally, due to the yearly yields available, annual and decadal effects were supplied. If  
290 an economic model is designed to take such information into account, this allows for addressing  
291 inter-annual/decadal variability of production,.

292 At the FPU-level, aggregated yield shifters have been capped to avoid being overly sensitive to  
293 variations and model artifacts that may occur if the biophysical crop models simulate very low  
294 reference period productivities. The upper limit for positive climate impacts on yields was set to  
295 an annual growth rate of 0.53%/year (equivalent to 23.5% increase in 40 years), negative  
296 impacts were limited to 2%/year (equivalent to 63% decrease in 40 years).

### 297 **3 Results**

298 In all scenarios analyzed here, climate change leads to strong decreases in agricultural  
299 productivity in most of the agricultural area, but with some notable exceptions such as currently  
300 temperature limited mountainous or high-latitude areas. At the global scale, crop yields  
301 decrease by 9.9 to 37.6% by 2050 for the five crops simulated by both models (Table 2). While  
302 there is high agreement at the global scale on climate change impacts on rice and groundnut  
303 productivity across the two crop models and the two climate scenarios, DSSAT projects  
304 substantially more detrimental impacts on maize (-35.8% vs. -12.1% respectively) and wheat (-  
305 19.4% vs. -12.2% respectively) than LPJmL. Generally, climate impacts on crop yields have similar  
306 distributions between the crop models and climate scenarios (Figure 2), but the spatial patterns  
307 of impact differ in some cases significantly (Figure 3-4 and supplementary Figures S15-S29). It is  
308 noteworthy that already moderate changes in crop productivity and crop production can have  
309 significant impacts on agricultural markets and prices. This was demonstrated in the recent  
310 drought in the USA in 2012, where maize yields and production decreased by 18% and 12%  
311 respectively, but US maize exports had almost dropped by 50% in October and November 2012  
312 compared to the already low export quantities in 2011 and to about a third of the peak export  
313 quantities in 2007 (Capehart, et al., 2012).

314 << Figure 2 about here >>

315 Figures 3-4 display spatial patterns for the crops as simulated by DSSAT and LPJmL for the 2  
316 climate scenarios employed (also see supplementary figures for other crops). The spatial  
317 patterns of change cannot always be directly linked to the change patterns of climate variables  
318 and there are also differences between the 2 crop models' projections. While the impact  
319 patterns show many similarities between the models/scenarios, there are important

320 differences. Rainfed wheat, for example is projected to increase in East (HadGEM and IPSL) and  
321 central (HadGEM only) Europe by DSSAT, while LPJmL simulates yield reductions throughout  
322 Europe. The slightly lower increases in annual mean temperature in HadGEM than in IPSL  
323 (Figures 1-2) lead to yield increases in the Russian-Kazakh border region in the HadGEM scenario  
324 but to decreases in the IPSL scenario. The main difference is that spring precipitation (March,  
325 April, May) is projected to increase significantly by HadGEM2-ES but to decrease in summer  
326 (June, July, August) while ISPL-CM5A-LR projects smaller variability of the seasonal distribution  
327 but rather sees a drying in spring and wetter conditions in summer in this region. Both agree on  
328 increasing winter precipitation (see supplementary Figure S1). For irrigated wheat productivity,  
329 DSSAT simulations are more optimistic in Europe and more pessimistic for India, China and  
330 Mexico (see also supplementary Figure S15).

331 << Figure 3 about here >>

332 For maize, the spatial patterns of yield changes agree well between the two crop models, but  
333 DSSAT projects significantly stronger yield changes almost everywhere, including the yield  
334 increase in the high latitudes in both rainfed (Figure 4) and irrigated cultivation (supplementary  
335 Figure S16).

336 Groundnut simulations are similar between crop models but more severe for IPSL for rainfed  
337 and for DSSAT for irrigated cultivation (supplementary Figures S17-S18).

338 For rice productivity, climate impacts do not differ much between the two climate models but  
339 DSSAT is more pessimistic for irrigated rice, while LPJmL is more pessimistic for rainfed rice  
340 cultivation under climate change (supplementary Figures S19-S20).

341 For soy, there are significant regional differences between climate and crop model simulations  
342 for rainfed soy productivity, while DSSAT is often more optimistic for irrigated conditions  
343 (supplementary Figures S21-S22).

344 << Figure 4 about here >>

345 Spatial variability of yields within food production units (FPUs) decreases more often than  
346 increases with climate change and more so in DSSAT simulations than in LPJmL (Fig 5) as does  
347 year-to-year variability both at the FPU (Fig 6) and grid cell level (supplementary figure S30) in  
348 LPJmL simulations.

349 << Figure 5 about here >>

350 << Figure 6 about here >>

351 A table with the crop-specific climate change impacts on yields per FPU for the four scenarios is  
352 supplied in the supporting online material.

## 353 **4 Discussion**

354 We here supply four scenarios of climate change impacts on crop yields, in high spatial and  
355 temporal resolution as well as in aggregated form in order to supply biophysical climate change  
356 impacts on crop productivity to economic models. The detail of information supplied, also in  
357 aggregated form allows for simulating changes in land use patterns, e.g. through land expansion,  
358 reallocation of cropping systems and land abandonment. Depending on mechanisms  
359 implemented in the economic models, costs for such changes in land-use patterns will have to  
360 be parameterized with observations (Schmitz, et al., 2013).

361 Given the low number of climate change impact scenarios (4), these can only partially cover the  
362 range of possible future climate change impacts. Even though these scenarios were designed to

363 represent high-end climate change impact scenarios, not all assumptions and model  
364 implementations are on the pessimistic side. We use only scenarios of the highest emission  
365 scenario (RCP 8.5) of the most recent emission scenario set (Moss, et al., 2010), the crop models  
366 were set up to exclude any adaptation mechanism (such as the adjustment of sowing dates) and  
367 any possible positive effects of CO<sub>2</sub> fertilization were excluded here. However, several aspects  
368 are excluded that have the potential to further decline yields. Ozone damage can substantially  
369 reduce crop yields (Bender and Weigel, 2011, Leisner and Ainsworth, 2012) and ozone  
370 concentrations are projected to increase especially in Asia but are projected to decline in North  
371 America and stabilize in Europe under the RCP8.5 scenario (Wild, et al., 2012). While the model  
372 setup of DSSAT with synthetic daily weather data prevents a direct accounting for changes in  
373 weather extremes, LPJmL is driven with daily (bias-corrected) data from the GCMs. The model,  
374 however, does not cover mechanisms that would allow for an assessment of extreme events  
375 under climate change (no increased heat sensitivity at anthesis, no crop damage under intense  
376 precipitation events such as hail storms). The scenarios are thus assuming no effects of  
377 increasing extremes on crop productivity here, even though these can have significant effects on  
378 crop yields (Asseng, et al., 2011, Hawkins, et al., 2013). Indirect effects of climate change on  
379 crop growth through weeds, pests and pathogens are not covered by the crop growth models  
380 here.

381 The assumption of static management systems is not without caveats as there are also  
382 adaptation measures that potentially can be implemented with limited extra costs, such as the  
383 adjustment of sowing dates to climate change, which can strongly affect the strength of impacts  
384 (Laux, et al., 2010, Folberth, et al., 2012, Waha, et al., 2013). However this setting also helps to  
385 avoid overlapping assumptions in biophysical and economic models: All adaptation efforts to  
386 compensate negative climate change impacts are exclusively addressed in the economic models,

387 while we here supply data on climate change impacts on agricultural productivity under static  
388 management assumptions only. Typically, adaptation in economic models is represented by a  
389 mixture of explicitly modeled mechanisms such as changes in resource allocation and/or in  
390 consumption patterns and aggregated response mechanisms, such as the investment in  
391 technological change, which does not distinguish individual measures such as breeding new  
392 varieties or better soil management (Dietrich, et al., 2012, Dietrich, et al., in press). For such  
393 aggregate adaptation mechanisms in economic models, adaptation options already included in  
394 the biophysical crop modeling cannot be excluded and the exclusion of adaptation measures in  
395 the crop models thus ensures consistency. This impedes a detailed analysis of adaptation  
396 options in agricultural production systems for which a stronger interaction between crop models  
397 and economic models would be necessary. The ability of the GLOBIOM model to select from  
398 different management systems per commodity (Havlík, et al., 2013) could facilitate an analysis  
399 of adaptation options in crop production management and via land-use change and price  
400 mechanism, but this detail in differences in sensitivity to climate change between crop  
401 management systems is not supplied here.

402 The effectiveness of CO<sub>2</sub> fertilization at field scale or even in national or global productivity and  
403 a crop model's ability to project it is subject to considerable uncertainty and scientific debate  
404 (Long, et al., 2006, Tubiello, et al., 2007). While assuming no CO<sub>2</sub> fertilization is clearly a  
405 pessimistic assumption here, it is consistent with the idea of leaving adjustments in  
406 management to the economic models: Any beneficial effect of CO<sub>2</sub> fertilization on crop yields  
407 will require an adjustment in management to enable higher quantities. Otherwise nitrogen  
408 could become limiting and could result in reductions in quality (e.g. protein content) (Leakey, et  
409 al., 2009, Bloom, et al., 2010, Parry and Hawkesford, 2010). Changes in the chemical  
410 composition of plants under elevated atmospheric CO<sub>2</sub> concentrations could also have

411 detrimental effects on yields, as it was shown to impede the plants' defense mechanisms  
412 against insect damage (Dermody, et al., 2008, Zavala, et al., 2008) and may interfere with the  
413 enzyme and hormone regulation in plants (Ribeiro, et al., 2012). As both crop and economic  
414 models at the global scale are not capable address these detailed feedbacks and the assumption  
415 to ignore CO<sub>2</sub> fertilization effects is consistent with this.

416 The selection of global circulation models (GCMs) is based on availability of climate scenarios  
417 and the modeling protocol of ISI-MIP and AgMIP only; it does not reflect the range of  
418 uncertainties from different climate models.

419 The aggregation of spatially explicit simulations of crop yields under climate change to larger  
420 spatial units, as required for most economic models, is inconsistent with the simulated land-use  
421 change by these economic models. Only models that are able to process spatially explicit data  
422 are capable of responding to climate change impacts by reallocating production within spatial  
423 production units (FPUs, countries). This allows for more flexible response to climate change  
424 patterns, especially as the spatial variability of crop yields within FPUs (Fig 5) changes as well  
425 under climate change, which is independent of the direction of change.

426 The variability in time is estimated for LPJmL only, since DSSAT is driven with 80 replica of the  
427 same year. Results show that temporal variability of FPU production (expressed as the  
428 coefficient of variation over a 30-year period around 2000 and 2050) increases about twice as  
429 much (64%) than it decreases (29%, see Fig. 6), a ratio that is also observed at the pixel level  
430 (supplementary Figure S30) as well as for irrigated and rainfed systems individually  
431 (supplementary Figures S31-S32).

432 At the same time, models that use spatially explicit yield shifter data may also see different yield  
433 trends as their initial and changing land-use patterns will very likely lead to a different weighting

434 scheme than used here based on the SPAM data. This means that climate change effects in  
435 economic assessments directly depend on the spatial aggregation method and can lead to  
436 different dynamics if aggregation weights (e.g. SPAM data vs. other land-use patterns) differ and  
437 if there are strong gradients in climate change within aggregation units. This is, e.g., the case in  
438 the USA (north-east for DSSAT, central for LPJmL) for wheat (Figure 3) or east China for maize  
439 (Figure 4). A direct comparison of economic models driven with spatially aggregated yield data  
440 and models driven with spatially explicit data is thus inhibited.

441 Mapping of simulated climate change impacts on specific crops to other crops and commodities  
442 not covered by the biophysical crop models is a suitable way to generate comprehensive climate  
443 change impact scenarios for all commodities. It is, however, also an additional source of  
444 uncertainty, especially if the plants' properties are not similar (e.g. fruits that are typically from  
445 dicotyledon and perennial plants vs. the average of rice, wheat (both monocotyledon), soybean  
446 and groundnut (both dicotyledon) which are annual plants, see Table 1).

447 Practical matters constrained how many and which crops were chosen for modeling. Of course,  
448 there are more crops cultivated than there are reliable models of them. Still, there are plenty of  
449 models; within the DSSAT framework, there are representations of potatoes, cassava,  
450 sugarcane, sorghum, millet, cotton, chickpeas, and more. The lack of reliable input information  
451 for these, as well as the additional computational and organizational burden of handling the  
452 results led to the focus on the five major crops of rice, wheat, maize, soybeans, and groundnuts.

453 The uncertainty associated with mapping simulated bio-physical climate change impacts on  
454 specific crops to economic commodities is illustrated well by the case of sugarcane. While we  
455 simulate sugarcane plants directly in LPJmL (Lapola, et al., 2009), we do not with DSSAT here,  
456 but assume that climate change impacts on sugarcane are identical to those on maize for

457 DSSAT-based yield shifters (Table 1). While this is a reasonable choice, as both maize and  
458 sugarcane are  $C_4$  plants (while the other crops simulated with DSSAT are  $C_3$  plants), there are  
459 some important differences between the 2 crops that limit the comparability. Most importantly,  
460 the economically valuable part of maize is the fruit of the plant, while it's the stalk in case of  
461 sugarcane. Climate change impacts are thus expected to be more severe in maize production, as  
462 the sensitive phenological stages of flowering and grain filling can be affected more strongly by  
463 climate change (Lobell, et al., 2011). Sugarcane productivity is also impacted by increasing  
464 temperatures, but radiation use efficiency (i.e. biomass accumulation per unit of light energy  
465 absorbed) only decrease at daily mean temperatures above 35°C (Singels, et al., 2005) and fruit  
466 development is unwanted, as flowering actually impinges on sugarcane yields (Berding and  
467 Hurney, 2005).

468 The two biophysical crop models employed here reflect the uncertainties in global crop  
469 modeling (Rosenzweig, et al., 2013a) to some extent. They differ with respect to model  
470 characteristics (e.g. radiation use efficiency model vs. more complex photosynthesis model) and  
471 management assumptions (cropping periods, co-limitation by nutrients vs. no explicit treatment  
472 of nutrients). Consequently, projections of climate change impacts on crop yields differ both at  
473 global (Table 2) and regional scales (Figures 3 and 4). While DSSAT simulations typically project  
474 stronger global impacts for wheat and maize than LPJmL, its projections of impacts for rice,  
475 soybean and groundnut are typically less detrimental than those of LPJmL. Differences between  
476 the climate scenarios are typically smaller than those between crop models at the global scale,  
477 but can be substantial in specific regions (e.g. rainfed maize in Europe for DSSAT or China for  
478 LPJmL, Figure 4).

479 Global-gridded approaches to crop modeling using climate change scenarios will help to  
480 illuminate these uncertainties in the economic realm leading to modeling improvements to

481 reduce them as well as assessing and edifying the robustness of the biophysical models. Results  
482 from the global gridded crop model intercomparison of AgMIP and ISI-MIP as well as from the  
483 wheat and maize pilots of AgMIP suggest that the uncertainty from different impact models is  
484 typically larger than that from different climate scenarios (Asseng, et al., 2013, Rosenzweig, et  
485 al., 2013a). More work to analyze underlying reasons and to improve model projections is  
486 needed and will be coordinated by AgMIP. A harmonization of assumed management (growing  
487 periods, cultivars, fertilizers, pest control) will be central to understand differences in climate  
488 change impact assessments and will allow for separating impact uncertainty in uncertainty from  
489 management and uncertainty from climate impacts.

490

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738 **Table 1: Mapping of biophysical crop yield simulations to agricultural economic commodities.**

Agricultural economic commodity	Mapping for DSSAT	Mapping for LPJmL
Cassava	represented by the average climate effects on the 4 major C <sub>3</sub> crops modeled ( <b>rice, wheat, soybeans, groundnuts</b> )	<b>Cassava</b> yield simulations directly applied
Groundnuts	<b>Groundnut</b> yield simulations directly applied	<b>Groundnut</b> yield simulations directly applied
Maize	<b>Maize</b> yield simulations directly applied	<b>Maize</b> yield simulations directly applied
Millet	represented by modified <b>maize</b> yield simulations, applying all the positive climate effects but only 50% of the negative effects due to better drought tolerance	<b>Millet</b> yield simulations directly applied
Rapeseed	represented by the average climate effects on the 4 major C <sub>3</sub> crops modeled ( <b>rice, wheat, soybeans, groundnuts</b> )	<b>Rapeseed</b> yield simulations directly applied
Rice	<b>Rice</b> yield simulations directly applied	<b>Rice</b> yield simulations directly applied
Soybeans	<b>Soybean</b> yield simulations directly applied	<b>Soybean</b> yield simulations directly applied
Sugarcane	<b>Maize</b> yield simulations represent climate impacts on sugarcane	<b>Sugarcane</b> yield simulations directly applied
Sugar beet	represented by the average climate effects on the 4 major C <sub>3</sub> crops modeled ( <b>rice, wheat, soybeans, groundnuts</b> )	<b>Sugar beet</b> yield simulations directly applied
Wheat	<b>Wheat</b> yield simulations directly applied	<b>Wheat</b> yield simulations directly

		applied
Sorghum	represented by modified <b>maize</b> yield simulations, applying all the positive climate effects but only 50% of the negative effects due to better drought tolerance	<b>Millet</b> yield simulations represent climate impacts on sorghum
Oilseeds (sunflower, palm, total other oilseeds)	represented by the average climate effects on the 4 major C <sub>3</sub> crops modeled ( <b>rice, wheat, soybeans, groundnuts</b> )	Represented by <b>sunflower</b> yield simulations
Other Grains	represented by modified <b>wheat</b> yield simulations, applying all the positive climate effects but only 50% of the negative effects due to better drought tolerance	represented by modified <b>wheat</b> yield simulations, applying all the positive climate effects but only 50% of the negative effects due to better drought tolerance
Dryland Legumes (Chickpeas and Pigeon Peas)	represented by modified <b>groundnut</b> yield simulations, applying all the positive climate effects but only 50% of the negative effects due to better drought tolerance	represented by modified <b>groundnut</b> yield simulations, applying all the positive climate effects but only 50% of the negative effects due to better drought tolerance
Other C <sub>3</sub> crops (cotton, potatoes, sweet potatoes and yams, subtropical fruit, temperate fruits, and vegetables)	represented by the average climate effects on the 4 major C <sub>3</sub> crops modeled ( <b>rice, wheat, soybeans, groundnuts</b> )	represented by the average climate effects on the 4 major C <sub>3</sub> crops modeled ( <b>rice, wheat, soybeans, groundnuts</b> )

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741 **Table 2: projected changes in global productivity in percent for the five crops simulated by both models;**  
742 **wheat, maize, rice, soybean and groundnut**

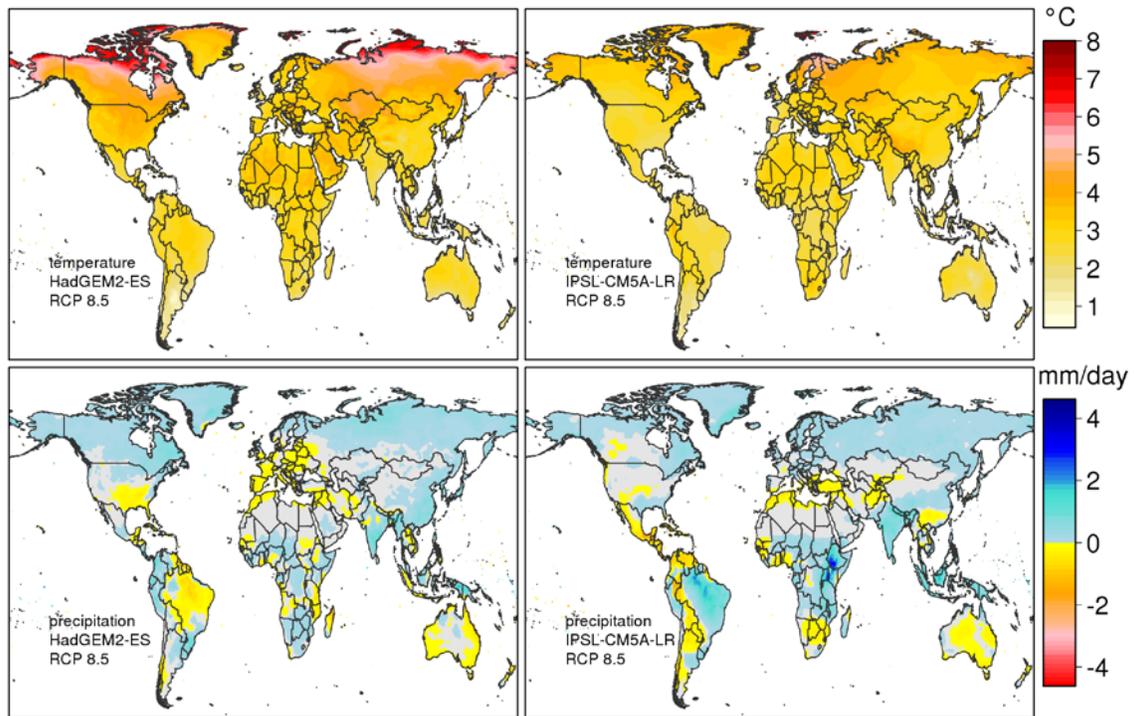
	Hadley		IPSL	
	DSSAT	LPJmL	DSSAT	LPJmL
wheat	-17.7%	-11.5%	-21.0%	-12.9%
maize	-37.6%	-9.9%	-33.9%	-14.2%
rice	-15.7%	-18.2%	-16.4%	-16.1%
soybean	-16.8%	-20.0%	-13.0%	-29.8%
groundnut	-20.9%	-24.3%	-18.4%	-21.2%

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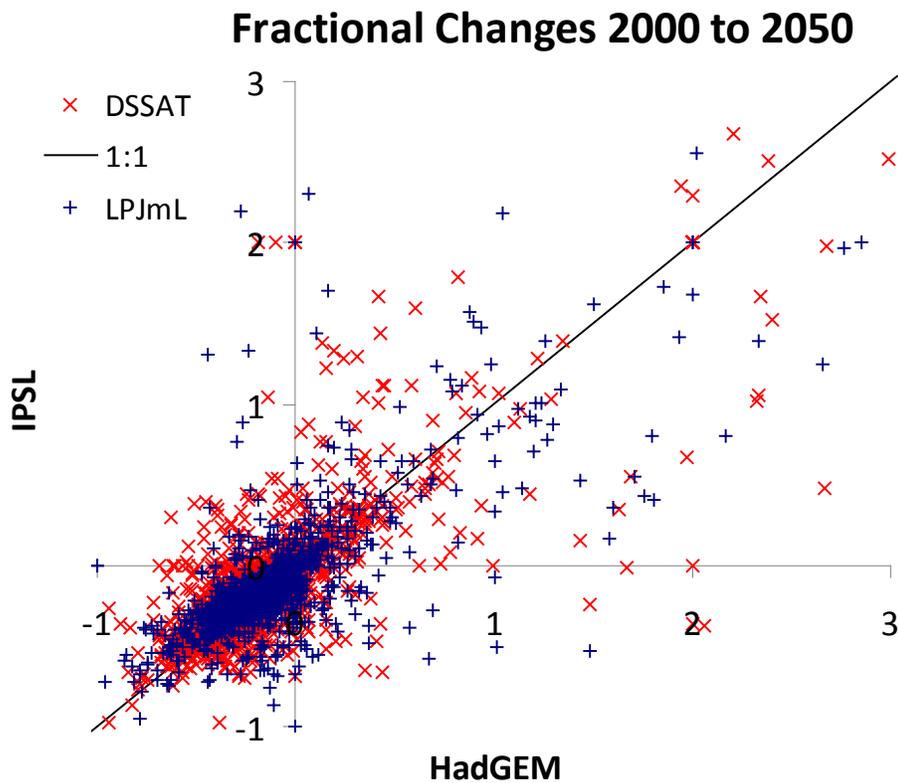
Figures



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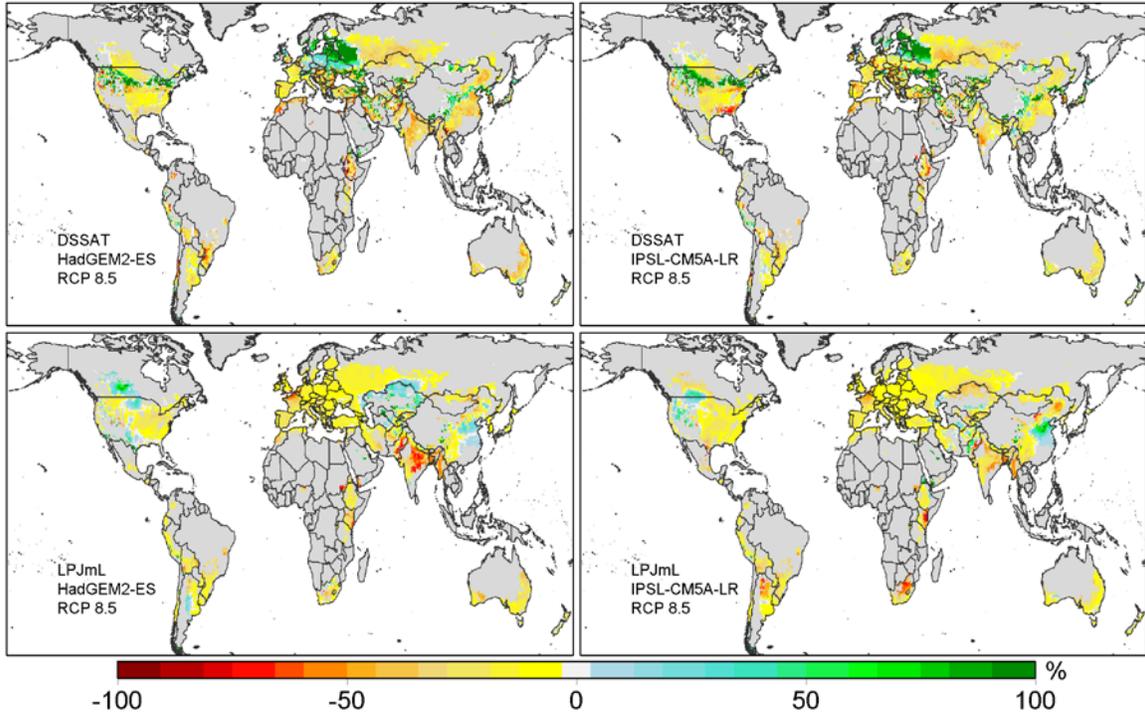
747 **Figure 1: Absolute changes in annual mean temperature [°C] (top) and annual mean precipitation**  
748 **[mm/day] (bottom) from 1980-2010 to 2035-2065 for the HadGEM-ES2 (left) and IPSL-CM5A-LR (right)**  
749 **models. Temperature changes above 8°C have been cut to facilitate better visibility of differences at**  
750 **lower temperature changes, which is more important for cultivated areas. Grey areas in the bottom**  
751 **depict regions with precipitation changes of less than 50mm/year (0.137mm/day). Seasonal changes**  
752 **are depicted in the supplementary Figures S1-S4.**

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 755 **Figure 2: Fractional changes  $(P_{fut}/P_{ref})-1$  in crop productivity comparing the 2 crop models (red x: DSSAT,**  
 756 **blue +: LPJmL) and climate models HadGEM (x-axis) and IPSL (y-axis) for the 5 jointly simulated crops**  
 757 **(maize, wheat, rice, soybean, groundnut) for all FPU.**

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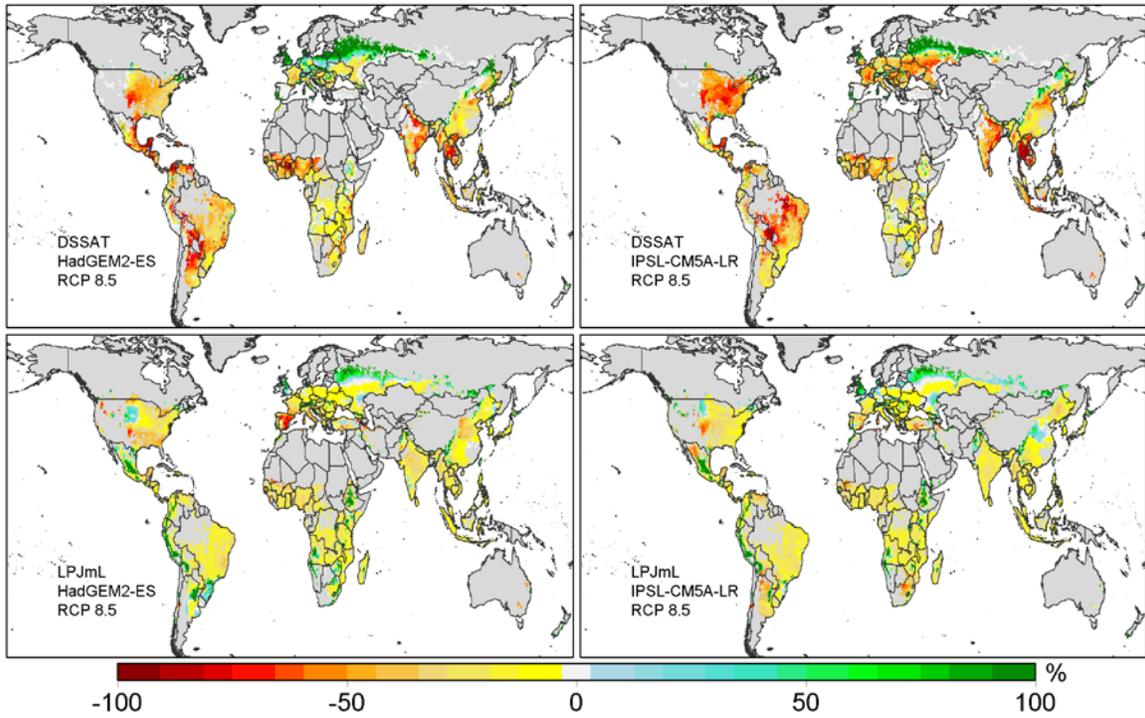
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**Figure 3: Relative changes in rainfed wheat productivity as projected by DSSAT (top) and LPJmL (bottom) for the HadGEM-ES2 (left) and IPSL-CM5A-LR (right) climate scenarios for the RCP8.5 emission scenario. Dark grey areas are currently not used for cultivation of rainfed wheat (Portmann, et al., 2010).**



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-100 -50 0 50 100 %

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Figure 4: Relative changes in rainfed maize productivity as projected by DSSAT (top) and LPJmL

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(bottom) for the HadGEM-ES2 (left) and IPSL-CM5A-LR (right) climate scenarios for the RCP8.5 emission

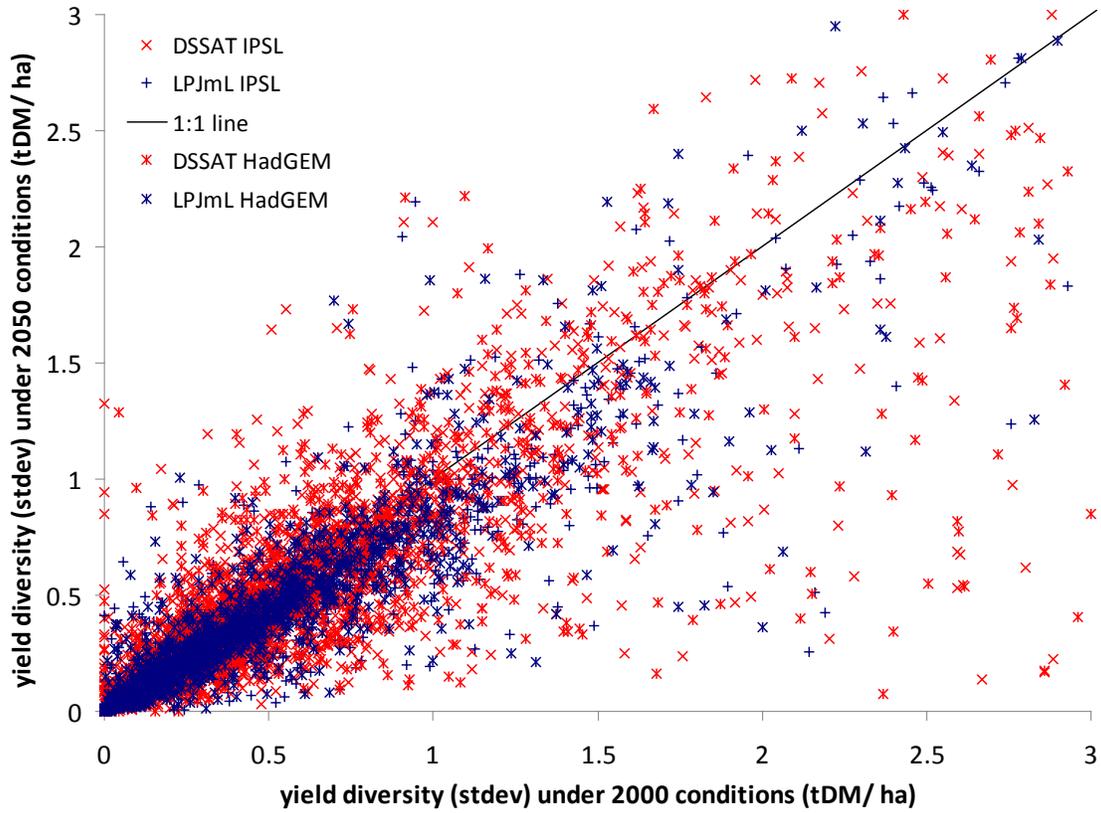
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scenario. Dark grey areas are currently not used for cultivation of rainfed maize (Portmann, et al.,

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2010).

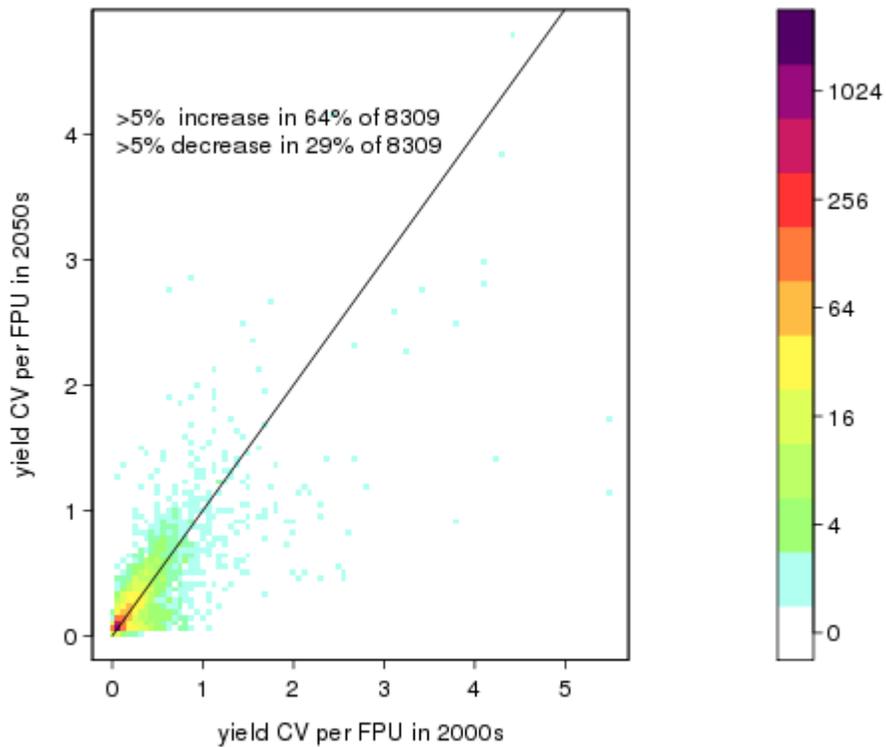
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772 **Figure 5: Yield diversity in food production units (FPU, i.e. across pixels within a FPU) decreases more**  
 773 **often than increases under climate change. Blue symbols indicate yield diversity as simulated with**  
 774 **LPJmL, red symbols indicate yield diversity as simulated by DSSAT. Crosses (x) indicate simulations for**  
 775 **IPSL-CM5A-LR climate scenario, double-crosses (\*) indicate simulations for HadGEM2-ES.**

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778 **Figure 6: Year-to-year variability increases more often than decreases. Colors depict point density in the**  
 779 **scatter plot (log scale). The total number of FPU (8309) is the sum of FPU (max 281) for the 12 LPJmL**  
 780 **crops simulated here summed for irrigated and rainfed management systems and for 2 climate**  
 781 **scenarios. Black line is 1:1 line.**

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