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1	Hydrological and climatological controls on radiocarbon concentrations in
2	a tropical stalagmite
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32	
33	Abstract
34	Precisely-dated stalagmites are increasingly important archives for the

- 35 reconstruction of terrestrial paleoclimate at very high temporal resolution. In-
- 36 depth understanding of local conditions at the cave site and of the processes
- 37 driving stalagmite deposition is of paramount importance for interpreting proxy
- 38 signals incorporated in stalagmite carbonate. Here we present a sub-decadally
- 39 resolved dead carbon fraction (DCF) record for a stalagmite from Yok Balum
- 40 Cave (southern Belize). The record is coupled to parallel stable carbon isotope

41 $(\delta^{13}C)$ and U/Ca measurements, as well as radiocarbon (¹⁴C) measurements from 42 soils overlying the cave system. Using a karst carbon cycle model we disentangle 43 the importance of soil and karst processes on stalagmite DCF incorporation, 44 revealing a dominant host rock dissolution control on total DCF. Covariation 45 between DCF, δ^{13} C, and U/Ca indicates that karst processes are a common driver 46 of all three parameters, suggesting possible use of δ^{13} C and trace element ratios 47 to independently quantify DCF variability. A statistically significant multidecadal lag of variable length exists between DCF and reconstructed solar 48 49 activity, suggesting that solar activity influenced regional precipitation in Mesoamerica over the past 1500 years, but that the relationship was non-static. 50 51 Although the precise nature of the observed lag is unclear, solar-induced changes 52 in North Atlantic oceanic and atmospheric dynamics may play a role.

53

54 **1. Introduction**

55 Stalagmites are critical archives for the reconstruction of terrestrial 56 paleoclimate. They are dateable with exceptional precision, and provide highresolution time series data that reflect past climatic and environmental 57 conditions (e.g., Ridley et al., 2015a; Vaks et al., 2013). However, because local 58 59 conditions that influence proxy signals can vary between cave sites, careful 60 interpretation of stalagmite paleoclimate records is necessary. A robust 61 interpretation of stalagmite paleoclimate proxies therefore requires detailed 62 knowledge of surface and cave conditions, including cave monitoring studies (Breitenbach et al., 2015), and assessments of hydrological and carbon cycle 63 64 processes within the karst system (Frisia et al., 2011; Noronha et al., 2015; 65 Rudzka-Phillips et al., 2013).

66 Combined analyses of stable carbon isotopes and ¹⁴C in stalagmite carbonate can 67 be particularly informative because the two proxies reflect carbon inputs from 68 different surface environment sources (atmosphere, soil and vegetation), and 69 from the host rock (Genty et al., 2001; Hendy, 1971; Oster et al., 2010). Meteoric 70 water encounters high CO₂ levels in the soil, epikarst, and bedrock atmosphere 71 (Baldini, 2010; Breecker et al., 2012; Noronha et al., 2015). Due to the biological 72 nature of the processes involved in the production of soil CO₂ (microbial 73 decomposition of soil organic matter (SOM) and root respiration), the δ^{13} C is 74 strongly depleted (around -26‰ for areas dominated by C₃-type plants), whereas ¹⁴C is often slightly to moderately depleted compared to the 75 76 contemporaneous atmosphere through the decomposition of older residual SOM 77 (Suppl. Fig. 1) (Genty and Massault, 1999). Dissolution of the ancient (i.e., ¹⁴C-78 free) carbonate host rock by the acidic aqueous solution results in higher δ^{13} C 79 values but a further reduction in ¹⁴C contents in the water solution (Suppl. Fig. 1) 80 (Genty et al., 2001). Carbonate speleothems form when dripwater saturated with respect to CaCO₃ enters a cave, where CO₂ levels are generally much lower than 81 in the dripwater solution (McDermott, 2004). CO₂ degassing leads to 82 83 supersaturation in the solution with respect to CaCO₃ and subsequent carbonate 84 precipitation. Rapid degassing, for example in well-ventilated caves or under 85 slow drip rates, promotes kinetic isotopic fractionation effects, leading to 86 substantially higher δ^{13} C values (Breitenbach et al., 2015; Frisia et al., 2011). 87 Early studies attempting to date groundwater using ¹⁴C concluded that the

Early studies attempting to date groundwater using ¹*C concluded that the
composite origin of groundwater carbon leads to large age offsets compared to
the contemporaneous atmosphere (Fontes and Garnier, 1979; Wigley, 1975),
which is then transferred to stalagmite carbonate. The difference between the

91 stalagmite and the contemporaneous atmosphere ¹⁴C content at the time of 92 carbonate deposition is called the 'dead carbon fraction' (DCF), and can be highly 93 variable depending on karst and soil conditions, such as the thickness of bedrock 94 overlying the cave and SOM age spectrum (Genty et al., 2001; Griffiths et al., 95 2012; Noronha et al., 2014; Rudzka et al., 2011). Detailed understanding of 96 carbon cycle controls is therefore paramount for understanding specific karst 97 systems and for the correct interpretation of stalagmite proxy records.

Well-dated stalagmite ¹⁴C time series have extended the IntCal calibration curve, 98 99 taking into account DCF as a constant offset between stalagmite ¹⁴C measurements and IntCal (Hoffmann et al., 2010; Southon et al., 2012). These 100 101 studies led to significant improvements in our ability to date natural and 102 archaeological samples in the absence of direct atmospheric ¹⁴C records such as 103 tree rings (i.e., beyond 13.9 kyr BP) (Reimer et al., 2013). However, DCF 104 variations beyond the tree-ring based interval of the calibration curve are 105 difficult to account for and to distinguish from variations in atmospheric ¹⁴C 106 activity, requiring a method independent from the calibration curve for the 107 detection of DCF variations in stalagmites. Although DCF may be relatively 108 constant in a cave environment over long periods of time (e.g., in stalagmite H-82 109 from Hulu Cave; Southon et al., 2012), significant short-term variations can occur 110 (Griffiths et al., 2012; Noronha et al., 2014), especially during climatic extremes 111 (e.g., the last deglaciation; Oster et al., 2010; Rudzka et al., 2011). Understanding 112 the factors driving DCF variations would not only be important for calibration 113 purposes, but might also open the door to ¹⁴C dating of stalagmites using 114 conventional calibration approaches.

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116 Here we present a sub-decadally resolved stalagmite ¹⁴C record from the tropical 117 Yok Balum Cave, Belize. The exceptional resolution and chronological precision 118 of our ¹⁴C record allows direct comparison to atmospheric ¹⁴C activity over the 119 past 1500 years, and provides valuable insights into how hydrology and the 120 karst pathways respond to climatic changes at the site. We use δ^{13} C and U/Ca to 121 infer the importance of kinetic fractionation and prior calcite precipitation (PCP) 122 and/or prior aragonite precipitation (PAP) occurring at the site. Carbon cycle 123 modeling and the analysis of soil samples from above the cave help disentangle 124 the main processes influencing ¹⁴C and δ^{13} C at our site and strengthen the proxy interpretation. We compare our high-resolution ¹⁴C record to atmospheric ¹⁴C 125 126 from IntCal13 (Reimer et al., 2013) and solar activity proxies to detect 127 similarities and infer driving mechanisms.

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129 **2.** Cave setting and climate

130 Yok Balum Cave is located in southern Belize in the district of Toledo 131 (16°12'30.780 N, 89°4'24.420 W, 366 m above sea level) (Fig. 1). The cave developed in a steep and remote hill in a SW-NE trending karst ridge composed 132 133 of limestone of Cretaceous age of the Campur Formation (Kennett et al., 2012; 134 Miller, 1996). The vegetation above the cave consists of dense subtropical forest, 135 composed primarily of C3 plants. Soil thickness above Yok Balum Cave varies 136 considerably; it is generally very thin (< 30 cm) but occasionally forms deeper 137 (up to 60 cm) pockets in the strongly karstified limestone. The soil is a leptosol 138 (WRB, 2006) and has poorly developed horizons. Due to the generally 139 inaccessible location of the hilltop above Yok Balum Cave, it is unlikely that the vegetation and cave hydrology was ever disturbed by farming activities in the 140

past, although the area has been populated for millennia (Kennett et al., 2012;Walsh et al., 2014).

143 Yok Balum Cave consists of a single main trunk conduit overlain by ~ 14 m of 144 karstified bedrock with one entrance at each end at different elevations, 145 resulting in constant airflow and a dynamic diurnal and seasonal ventilation 146 regime (Ridley et al., 2015a, 2015b) (Fig. 1). Detailed cave microclimate 147 monitoring, including logging of temperature, cave air CO₂, radon, and drip rates, has been carried out since 2011 (Kennett et al., 2012; Ridley et al., 2015b). The 148 149 cave has a nearly constant temperature of 22.9 ± 0.5 °C (Ridley et al., 2015b) that closely reflects the outside mean annual air temperature. Belize is located at the 150 151 northernmost extent of the present-day boreal summer Intertropical 152 Convergence Zone (ITCZ), whose annual migration dominates local climate 153 (Ridley et al., 2015a) (Fig. 1). Precipitation is heavily biased towards the boreal 154 summer months, when 400-700 mm of monthly rainfall can be registered, 155 whereas winters are generally very dry (< 70 mm/month; Poveda et al., 2006).

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157 **3. Materials and methods**

158 **3.1. Stalagmite YOK-I**

Stalagmite YOK-I was collected in 2006 and is 606.9 mm long. The upper 415 mm are entirely composed of aragonite and were analyzed previously for high resolution stable isotopes of oxygen (δ^{18} O) and δ^{13} C (Kennett et al., 2012) (Fig. 2). YOK-I was actively growing at the time of collection, and detailed U-Th measurements indicate that the aragonitic section spans the last 2000 years (Kennett et al., 2012). In this study, the top 285.5 mm of YOK-I were resampled for ¹⁴C, δ^{13} C, and U/Ca. 166

167 **3.2. Stalagmite ¹⁴C measurements**

168 Samples for high-precision graphite ¹⁴C analysis were milled continuously along 169 the growth axis, following the previous stable isotope sampling transect, using a 170 semi-automated high-precision drill (Sherline 5400 Deluxe) at ETH Zürich. The 171 resultant transect produced 198 high-precision ¹⁴C measurements, taken at a 172 resolution between 0.5 – 3.3 mm. Contamination from sample and equipment 173 handling was minimized by cleaning all surfaces with methanol and drying using 174 compressed air between each sample. Additionally, the top 0.1 mm of stalagmite surface was discarded after milling. Graphitization and ¹⁴C analysis were 175 176 performed at the Laboratory for Ion Beam Physics (LIP) at ETH Zürich. 8-12 mg aliquots of carbonate powder were graphitized using an automatic 177 178 graphitization system fitted with a carbonate handling system (CHS-AGE, 179 Ionplus) and ¹⁴C content was measured with an accelerator mass spectrometer 180 (MICADAS, Ionplus). Oxalic acid II (NIST SRM 4990C) was used as the normalizing standard and was measured to a precision better that 2‰. IAEA-C1 181 was used as blank while IAEA-C2 and a modern coral standard where used as 182 secondary standards. A ¹⁴C-free stalagmite sample was used as a processing 183 184 control.

185

186 **3.3. Stable isotope and trace element analysis**

187 YOK-I was previously sampled at 100 μ m resolution for δ^{13} C and δ^{18} O analysis, 188 published in Kennett et al (2012). To avoid any depth bias during the re-189 sampling for the current study, stable isotope measurements were performed on 190 aliquots from some of the same powders. This was especially important because 191 the age model based on the stable isotopes was applied to this study. Samples 192 were analyzed for δ^{18} O and δ^{13} C on a Thermo Delta V Plus mass spectrometer 193 coupled with a ThermoFinnigan GasBench II carbonate preparation device at the 194 Geological Institute, ETH Zürich, following the procedure described in 195 Breitenbach and Bernasconi (2011).

196 U/Ca ratios were measured on aliquots of the same powders used for ¹⁴C and 197 δ^{13} C. The powders were dissolved in 1% Nitric Acid (PWR 67% Nitric Acid 198 Ultrapure Normatom for trace element analysis, diluted with ultrapure water) 199 and measured using a Thermo Scientific X-Series II inductively-coupled plasma mass spectrometer (ICP-MS) at Durham University. Multi-elemental Romil 200 201 standards and blanks were run throughout the sequence to allow precise 202 quantification and correction for machine drift. Analytical precision for U was 203 <5% RSD for individual measurements, and detection limits were generally <1 ppt. Ca measurement precision was generally < 2% RSD, with all analyses well 204 205 above detection limits of $\sim < 0.1$ ppb.

206

207 **3.4. Soil samples**

A ca. 60 cm deep soil profile, extending to the top of the bedrock, was collected in 208 209 June 2013. Because of the extreme karstification of the bedrock, soil thickness is 210 very variable above the cave, and a deeper pocket was chosen to capture the 211 maximum extent of the soil. The profile was sampled at 4-5 cm per sampled 212 depth for a total of 13 samples. All samples were stored in dark and cool 213 conditions whenever possible, and freeze-dried upon arrival to the laboratory. 214 Smaller aliquots of the soil samples were homogenized and larger plant 215 fragments particles (> 5 mm) were removed manually.

216 The amount of organic carbon and ¹⁴C content in the soil profile was determined at LIP, ETH Zürich. To remove carbonates prior to analysis, aliquots of 217 218 homogenized soil samples were transferred to silver capsules and fumigated 219 over three days at 60°C using 37% HCl (puriss. p.a. grade, Sigma Aldrich) 220 (Komada et al., 2008) and neutralized for 24 hrs over solid NaOH. Samples were 221 then wrapped in a second tin capsule and pressed. The % organic carbon was 222 determined using an elemental analyzer (Vario MICRO cube, Elementar) 223 calibrated using atropine as the standard (Säntis, product SA990746B). ¹⁴C 224 content was determined on a second aliquot of carbonate-free soil containing 1 mg carbon using an automated graphitization system and an accelerator mass 225 226 spectrometer (AGE-MICADAS, Ionplus). Oxalic acid II (NIST SRM 4990C) was the 227 normalizing standard measured to 4‰ precision. Ancient anthracite coal was 228 used as the blank and processing control and IAEA-C7 and -C8 were used as 229 secondary standards. Samples where corrected for constant contamination by 230 extraneous carbon using the anthracite processing control and secondary 231 standards.

Water extractable organic carbon (WEOC) of the soil samples was characterized 232 233 to infer the nature of SOM transported through the karst. ¹⁴C content of WEOC 234 was determined by extracting 5 g of soil with 20 ml of 0.5 wt% NaCl (in ultrapure 235 water) in pre-combusted glass centrifuge tubes (similar to Hagedorn et al., 236 2004). The tubes were centrifuged three times for 15 min, and the solution was 237 re-homogenized using a vortex mixer in between. The supernatant was decanted 238 using combusted glass pipettes, filtered through a column containing a small 239 amount of pre-combusted glass fibre to remove solid particles, and freeze-dried 240 using a Christ Alpha 1-2 LD plus freeze-dryer equipped with an oil-free pump to prevent contamination. The extracts were then transferred to pre-combusted 12
ml borosilicate Exetainer vials (Labco) using 5 ml of ultrapure water at pH 2. The
¹⁴C content was measured following the method described in Lang et al. (2016)
using wet chemical oxidation and accelerator mass spectrometry using a Gas Ion
Source (GIS) interface (Ionplus).

246

247 **3.5. Carbon isotope models**

DCF in stalagmite YOK-I (DCF_{YOK-I}) reflects various sources, mainly soil and 248 249 vegetation, carbonate host rock, and fractionation effects (Griffiths et al., 2012). In order to separate and infer the relative importance of each contributing 250 251 source to DCF_{YOK-I}, a modified version of a soil-karst carbon isotope model, 252 described in Fohlmeister et al. (2011) and Griffiths et al. (2012), was applied to 253 the dataset (Suppl. Fig. 2). Briefly, the model first calculates the SOM spectrum 254 that best fits the measured stalagmite bomb spike. Three SOM pools with 255 different mean ages and turnover times were calculated, and optimized to find 256 the best fit with the measured stalagmite bomb spike via a Monte Carlo approach 257 (30,000 runs). The SOM spectrum was applied to the entire dataset to reveal the ¹⁴C content of the soil gas. This assumes that vegetation and soil composition 258 259 have remained constant over the period of stalagmite growth. Fractionation 260 effects between CO₂ and HCO₃- when entering the groundwater DIC solution are 261 taken into account using the fractionation factor for ${}^{14}C$ ${}^{14}\varepsilon$ = 2 × ${}^{13}\varepsilon/10$ 262 (Southon, 2011), resulting in approximately +1.8 fraction modern (F¹⁴C; Reimer 263 et al., 2004) at 25°C. The remaining DCF signal is divided into host rock 264 dissolution and in-cave kinetic fractionation effects. In-cave kinetic fractionation 265 $(\Delta \delta^{13}C)$, including the effects of PCP/PAP, is calculated as the difference between

266 stalagmite δ^{13} C and δ^{13} C estimated for the drip water solution after carbonate 267 dissolution, when water is saturated with respect to Ca^{2+} (Griffiths et al., 2012). 268 Dripwater δ^{13} C can be calculated iteratively, by considering the host rock δ^{13} C 269 and the soil-water DIC δ^{13} C (in our case 0\%) and -17\%), respectively). Using the 270 DCF value at that point in time permits calculation of the relative contribution of 271 the host rock and DIC to the total dripwater δ^{13} C (as described in Griffiths et al, 272 2012). Kinetic fractionation effects on 14 C are readily quantifiable, because a 1‰ change in δ^{13} C equals a shift of ca. 0.2 F¹⁴C in ¹⁴C (Southon, 2011). After 273 274 removing the effects of vegetation/SOM and kinetic fractionation, the residual 275 DCF is attributed to host rock dissolution processes.

276

277 **4. Results**

278 **4.1. YOK-I**¹⁴C record

279 The YOK-I ¹⁴C record extends from \sim -54 back to 1400 years BP (i.e., 2004 to 555 280 C.E.), based on the U/Th age model constructed by Kennett et al. (2012) (Table 1, Fig. 2). A gap is present between 1341 and 1400 C.E., due to sampling difficulties 281 at the transition between two slabs of stalagmite YOK-I. The mean temporal 282 283 resolution is 5 years, and the maximum resolution is 0.7 years. A general decay 284 trend is visible between 555 and 1950 C.E., with superimposed deviations in the 285 range of ±0.2 F¹⁴C. The modern part of the ¹⁴C record (1950-present, top 9.3 286 mm) shows a clear imprint of bomb carbon, with maximum values of 1.14 F¹⁴C 287 (at 1990 C.E.) (Fig. 2B).

Conversion of ¹⁴C activity to DCF reveals significant variability over the entire interval studied (Table 1, Fig. 3A). Errors in DCF_{YOK-I} are between 0.23 and 0.67%, and were calculated using error propagation following Noronha et al. 291 (2014). DCF_{YOK-1} values range between 9.04 and 16.7% (mean: 12.9%). The 292 lowest DCF values occur during the period ca. 700-1100 C.E., concurrent with the 293 most enriched δ^{13} C values (Fig. 3C).

294

295 **4.2. Stable isotopes and U/Ca**

The new δ^{13} C record measured on aliquots of the samples used for ¹⁴C and U/Ca analyses, is of a lower resolution but shows excellent agreement with the previous high-resolution profile published in Kennett et al. (2012), confirming that no spatial error occurred during the resampling (Table 1, Fig. 3C). Several pronounced positive excursions in δ^{13} C are apparent throughout the record, e.g., at ca. 1780, 1500, 940, 620, and most notably, between 1040-1100 C.E.

302 184 aliquots of powders drilled for ¹⁴C analysis were also used for U/Ca 303 measurements. Values (expressed as U/Ca in ppm/ppm × 1000) vary from 304 0.00068 to 0.02952, with a pronounced minimum during the period 1040-1100 305 C.E. and highest values at the beginning of the record (550-700 C.E.) (Table 1, 306 Fig. 3B). A large gap in U/Ca measurements exists between \sim 1250-1600 C.E., 307 due to the transition between two stalagmite slabs (as in the ¹⁴C record), as well 308 as lack of availability of sufficient sample powder for analysis. The early part of 309 the record (550-1180 C.E.) is generally characterized by pronounced variability 310 in U/Ca with several rapid (sub-decadal) large excursions synchronous with 311 shifts in δ^{13} C, whereas the more recent part (1600-1950 C.E.) shows much more 312 uniform values.

313

314 **4.3. Soil samples**

Soil organic carbon (SOC) content was measured twice with similar results (Table 2, Fig. 4, series A and B). The highest values are found in the top sample (~20% organic carbon), mainly composed of plant litter in the organic horizon, followed by a steady decrease towards the bottom of the profile, with the lowest sample (at ~60 cm depth) only containing ~2% organic carbon.

320 $F^{14}C$ values from the bulk SOC are generally quite high (0.85 to 1.1 $F^{14}C$), with 321 systematically decreasing values towards the bottom of the profile (Table 2, Fig. 4). The presence of bomb carbon is suggested at the top of the profile, where the 322 323 highest values are found between 5-15 cm below the surface, whereas in the topmost sample, F¹⁴C is slightly lower. The WEOC F¹⁴C shows a similar pattern as 324 325 the bulk soil, with a steady decrease in F¹⁴C from the top to the bottom of the 326 profile (0.93 to 1.09 F¹⁴C). There is a bifurcation in WEOC and bulk SOC F¹⁴C 327 values with increasing depth, with the WEOC fraction decreasing less rapidly and 328 implying younger carbon than in the bulk SOC (Table 2, Fig. 4).

329

330 4.4. Karst carbon isotope modeling

The model with the best fit to the bomb spike data from YOK-I (Fig. 5A) produces

a SOM spectrum with the following parameters:

333 $y_1 = 6$ years; $c_1 = 34\%$

- 334 $y_2 = 37$ years; $c_2 = 62\%$
- 335 $y_3 = 580$ years; $c_3 = 4\%$

where y_i denotes the mean age of the SOM pools and c_i the relative contribution of the SOM pools to the respired soil gas CO₂. Applying this spectrum to the entire record shows that most of the atmospheric variation is expressed in the soil gas, due to the young SOM spectrum (Fig. 5B). Nevertheless, soil gas ¹⁴C activity is ~0.01 F¹⁴C lower than the contemporaneous atmospheric ¹⁴C activity, and lagging the latter by ~15 years (Fig. 5B), due to the integrating nature of SOM. A slight enrichment occurs due to fractionation effects between CO_2 and DIC in the soil. The average contribution from vegetation and SOM to DCF_{YOK-I} is 0.015 F¹⁴C, whereas the average enrichment from in-cave fractionation is -0.027 F¹⁴C. The host rock contribution is therefore dominant, amounting to 0.139 F¹⁴C on average (Fig. 5C).

347

348 **5. Discussion**

349 **5.1. Sources of carbon in stalagmite YOK-I**

We disentangle the influence of soil and karst processes on stalagmite carbon isotopes by combining high-precision isotope measurements on stalagmites, bulk SOC and soil WEOC, and karst carbon isotope modeling.

353 The trend towards lower ¹⁴C activities in the soil profile (Fig. 4) reflects general 354 ageing of the SOM related to gradual soil buildup, and the slow downward cycling of dissolved organic matter (DOM), as described in a conceptual model by 355 356 Kaiser and Kalbitz (2012). In this model, temporary storage of DOM through 357 sorption mechanisms and microbial degradation result in an increasing trend in 358 SOM ¹⁴C ages with depth. The WEOC represents the most labile pool of SOM that 359 is readily dissolved in water (Hagedorn et al., 2004) and reflects the same trend 360 as the bulk soil samples, but with a less pronounced decrease in ¹⁴C content. This is likely related to the preferential extraction of smaller, and thus more labile, 361 362 compounds from the soil, including those from living microbial biomass 363 (Hagedorn et al., 2004; Jones and Willett, 2006).

364 The analysis of bulk soil and WEOC samples shows that the SOM spectrum from 365 the soil above Yok Balum is quite young, and that the DOM leached from the soil 366 matrix (WEOC) echoes this trend. Backward modeling of SOM from the bomb 367 spike in YOK-I corroborates a very young SOM contribution to the karst system 368 (96% <50 years old) (Fig. 5). Although the model assumes constant vegetation 369 type and density above Yok Balum Cave over the past 1500 years, vegetation 370 shifts may have occurred because of severe droughts recorded between 700-1100 C.E. (Kennett et al., 2012). Less dense vegetation and reduced soil microbial 371 372 activity during dry periods or under sustained deforestation would result in older apparent ages of the SOM and lead to stronger smoothing of the 373 374 atmospheric ¹⁴C signal delivered to the cave and increased stalagmite DCF 375 (Fohlmeister et al., 2011a). However, this signal would be opposite than that 376 observed in DCF_{YOK-I} during the 700-1100 C.E. period, where DCF is at its 377 minimum. We attribute this to the minor influence of SOM to DCF_{YOK-1} (Fig. 5C), 378 and therefore we conclude that large changes in DCF cannot originate from SOM variability. 379

380 Young and fast cycling soils are often observed at tropical sites (Trumbore, 1993), where high temperature and humidity promote biological activity and 381 382 consequently result in high SOM turnover rates (Davidson and Janssens, 2006). 383 On the other hand, studies from (sub-)tropical karst settings have suggested that 384 a substantial contribution from pools of pre-aged SOM must influence the carbon 385 cycle at these locations: at Liang Luar Cave, on the Indonesian island of Flores, 386 the modeled SOM was dominated by a multi-centennial carbon pool (Griffiths et 387 al., 2012). A very old SOM spectrum was also observed in a recent study on soils from above Heshang Cave, China (Noronha et al., 2015). It is likely that 388

389 differences in local conditions, soil depth, and microbial activity, and the 390 magnitude of pre-aged organic carbon reservoirs in the deep vadose zone, are 391 responsible for the contrasting characteristics of the Yok Balum Cave 392 speleothem.

393 The overall modeled contribution of SOM to the DCF_{YOK-1} is found to be small 394 (max. 2.5%), and the largest contributions to DCF_{YOK-I} appear to come from 395 carbonate dissolution in the karst and from changes in karst hydrology (Fig. 6C). 396 Measured DCF_{YOK-I} shows substantial and rapid transitions of up to 4%, with 397 lower DCF values correlating with less negative δ^{13} C and δ^{18} O values and viceversa (Fig. 3). This suggests lower/higher DCF_{YOK-1} occurred during drier/wetter 398 399 conditions, corroborating studies where stalagmite DCF was observed to co-vary 400 with other hydroclimate proxies (Griffiths et al., 2012; Noronha et al., 2014). The 401 hydrological imprint on DCF appears to be related to shifts between the open 402 and closed end-members of the karst system (Hendy, 1971). More open system 403 conditions prevail during periods of lower recharge, i.e. drier periods. This 404 promotes lower DCF values as the karst aqueous solution constantly reequilibrates with the soil CO2 reservoir through air-filled voids and pores, 405 resulting in higher water (and stalagmite) ¹⁴C activities. Conversely, during 406 407 wetter periods, the karst system is more often waterlogged and the aqueous solution becomes isolated from the contemporaneous soil CO₂ reservoir (closed 408 409 system), resulting in much higher amounts of dead carbon from carbonate 410 dissolution being added to the solution (Fohlmeister et al., 2011b).

411 The importance of kinetic fractionation and PCP/PAP with respect to carbon 412 isotopic signatures in YOK-I are investigated using both δ^{13} C and U/Ca, coupled 413 to modeling. We consider both processes; although YOK-I is aragonitic, PCP 414 could occur in the karst overlying the cave, increasing the Mg/Ca ratio in the 415 aqueous solution, and consequently resulting in aragonite precipitation in the 416 cave (Wassenburg et al., 2012). U sourced from the overlying soil and the host 417 rock itself can be modulated by PCP/PAP (Johnson et al., 2006). Because U is 418 incorporated in the carbonate lattice, PAP should effectively scavenge U from the 419 drip water solution, resulting in lower stalagmite U contents during drier 420 periods (Jamieson et al., *in press*; Wassenburg et al., *in press*). δ^{13} C is strongly altered by kinetic in-cave fractionation and PCP/PAP, as forced degassing by low 421 422 CO₂ partial pressure enriches the solution in ¹³C (Frisia et al., 2011; Hendy, 1971). Modeling of kinetic fractionation effects between DIC and CaCO₃ (both in-423 424 cave fractionation and PCP/PAP) in stalagmite YOK-I shows that most of the 425 variation in δ^{13} C is attributable to this process, whereas the soil and carbonate 426 host rock signatures are only responsible for the overall range in δ^{13} C (Fig. 6). 427 Despite the fact that mass-dependent fractionation with respect to ¹²C is about 428 twice as strong for ¹⁴C than ¹³C, fractionation effects are generally not as strongly expressed in ¹⁴C as in δ^{13} C, due to the difference in unit of the two parameters (% 429 in ¹⁴C vs. % in δ ¹³C) (Fohlmeister et al., 2011b; Southon, 2011). Most of the 430 variability in δ^{13} C attributed to fractionation by the karst model is also present in 431 432 the U/Ca record (Fig. 6B). Several large and rapid positive excursions are found 433 both in δ^{13} C and U/Ca, most notably between 1040 and 1100 C.E., and all 434 coincide with periods of increased in-cave kinetic fractionation as calculated 435 with $\Delta\delta^{13}$ C. U/Ca ratios in YOK-I therefore are interpreted to reflect local 436 hydrological conditions and the amount of PAP occurring at the site, providing 437 additional evidence for kinetic fractionation as the main driver of δ^{13} C in this 438 stalagmite.

Previous studies have highlighted the potential of hydrological proxies for detecting past stalagmite DCF shifts: Rudzka et al. (2011) showed that shifts in DCF during the last deglaciation were matched by synchronous shifts in δ^{13} C, implying a common forcing mechanism on the two proxies (e.g., effective infiltration or changes in mean SOM age). Another study combined DCF and Mg/Ca data measured on a tropical stalagmite and highlighted the importance of host rock dissolution processes for stalagmite DCF (Griffiths et al., 2012).

446 In YOK-I, both δ^{13} C and U/Ca values show remarkable similarities (r = -0.83, p < 447 0.001), suggesting a strong imprint of PCP/PAP and in-cave kinetic fractionation on both proxies. Comparison with DCF_{YOK-I} reveals a significant correlation with 448 449 respect to U/Ca (r = 0.49, p < 0.001) and δ^{13} C (r = -0.5, p < 0.001), suggesting a 450 common forcing on all three proxies (Fig. 7). Since kinetic fractionation is not a 451 strong component of DCF_{YOK-1} (Fig. 5), another mechanism driven by the same 452 forcing that controls U/Ca and δ^{13} C must exist. The modeling results confirm 453 that, similar to previous studies, the dominant control on DCF_{YOK-I} is the dissolution of host rock carbonate, driven by open vs. closed system conditions. 454 All three processes (host rock dissolution, kinetic fractionation and PCP/PAP) 455 456 are sensitive to effective infiltration within the karst, and thus ultimately driven 457 by climatic conditions. Increasing aridity leads to more open-system conditions 458 and enhanced PAP and kinetic fractionation, resulting in strong covariance 459 between DCF, U/Ca and δ^{13} C (Fig. 7). This relationship highlights the potential 460 usefulness of combined δ^{13} C, trace element and 14 C records to infer past DCF 461 variability. It may also be possibile to detect changing infiltration even when DCF 462 cannot be readily calculated, i.e., during time intervals beyond the tree-ring based interval of the ¹⁴C calibration curve or for ¹⁴C dating applications. U/Ca 463

ratios are increasingly recognized as sensitive tracers for PAP in aragonitic
stalagmites (Jamieson et al., *in press*), and other trace elements have successfully
been used in calcitic samples (e.g., Mg/Ca, Griffiths et al., 2012).

467 Detailed analysis of the sources of carbon in YOK-I reveals a strong dependency 468 on both hydroclimate and the amount of effective infiltration into the karst 469 system. DCF, δ^{13} C and U/Ca all show a trend towards drier conditions during the 470 period 700-1100 C.E., a time interval previously described in conjunction with the disintegration of Classic Maya political systems (Douglas et al., 2015; Haug et 471 472 al., 2003; Hodell et al., 1995; Kennett et al., 2012). Whereas δ^{18} O reflects the amount of precipitation, moisture source and storm path length, δ^{13} C is a useful 473 474 local indicator of effective infiltration into the karst (Ridley et al., 2015a). All 475 factors driving δ^{13} C result in its enrichment during dry periods: reduced 476 vegetation density and soil microbial activity result in higher δ^{13} C values of the 477 soil water; more open-system conditions in the karst promote PCP/PAP and 478 kinetic fractionation, progressively enriching δ^{13} C in the aqueous solution. 479 Therefore, although the kinetic nature of the processes acting on δ^{13} C prevent quantification of the hydrological deficit, δ^{13} C in YOK-I is a sensitive recorder of 480 481 infiltration dynamics.

482

483 **5.2. 'Bomb' radiocarbon signals YOK-I**

The young SOM contribution to the drip water at Yok Balum Cave is reflected in the pronounced bomb spike in stalagmite YOK-I, which reaches its peak at 1.14 F^{14} C, with an overall spike of 0.27 F^{14} C (Fig. 2B). Comparing this value to the maximum F^{14} C in the atmospheric Northern Hemisphere zone 2 record (1.98 F^{14} C in 1963; Hua et al., 2013) confirms that YOK-I is a highly responsive 489 stalagmite in terms of carbon transfer, with a damping ratio, D, of 66.1%. D is 490 calculated as the difference between the highest and lowest bomb-¹⁴C value in 491 the stalagmite, compared to the atmospheric value. In comparison to an 492 extensive study of a number of stalagmites by Rudzka-Phillips et al. (2013), YOK-I shows one of the least dampened bomb spikes. The rapid increase in $F^{14}C$. 493 494 synchronous with the beginning of the bomb spike rise, also highlights the rapid 495 fluid transfer in the karst at Yok Balum Cave. These features could be related to 496 the much higher sampling resolution in YOK-I compared to other studies; 497 however, the strong similarity between the bomb spike recorded in YOK-I and YOK-G, another stalagmite from the same cave (Ridley et al., 2015a), suggests 498 499 that the amplitude of the perturbation is real. The YOK-I bomb spike does not 500 show a pronounced maximum, but rather a rapid increase in ¹⁴C activity until ca. 501 1970 C.E., followed by a plateau, until decrease slowly starts after ca. 1990 C.E., 502 very similar to YOK-G (Fig. 2B). It is worth noting that the measured drip rate for 503 stalagmite YOK-G was 30 times higher than for YOK-I, likely attributable to 504 different hydrological pathways in the karst overlying the cave (Ridley et al., 505 2015a). This corroborates the notion that processes related to the turnover of 506 soil organic matter are responsible for the modulation of the bomb spike in 507 stalagmites (Genty and Massault, 1999; Rudzka-Phillips et al., 2013), rather than 508 changes in karst hydrology. The two stalagmite bomb spikes from Yok Balum 509 Cave and the resultant modeled SOM spectra support the results from the 510 analysis of soil and WEOC samples, indicating only minor contributions of old 511 recalcitrant carbon from the soil to the karst system. Compared again with the 512 study by Rudzka-Phillips et al. (2013), the stalagmites from Yok Balum Cave 513 show similar behavior to the samples from arid and warm sites, with sparse

vegetation and thin soils. Although southern Belize is not characterized by yearround aridity, the boreal winter months are very dry, and infiltration in the karst is significantly reduced (Ridley et al., 2015b). Together with the low carbon storage potential of the soils overlying Yok Balum Cave, this may explain the apparent similarity to the arid sites described in Rudzka-Phillips et al. (2013).

- 519
- 520 **5.3. Lagged solar influence on DCF**

521 Similarities are apparent when comparing DCF_{YOK-I} to proxies for solar activity 522 (which modulates the production rate of atmospheric ¹⁴C; Abreu et al., 2013), such as the total solar irradiance (dTSI) record by Steinhilber et al. (2009) (Fig. 523 524 8). A lag-correlation analysis was performed between YOK-I and the Steinhilber 525 dTSI record. The YOK-I DCF and δ^{13} C records were first estimated on the same 526 (uniformly sampled) time scale as that of the Steinhilber dTSI using a Bayesian 527 proxy estimation approach presented in Goswami et al. (2014). All records were 528 normalized to mean zero and unit standard deviation, following which a 529 millennial trend was removed and the resulting residuals were smoothed with a 530 Gaussian kernel of 5 years width. Pearson's cross correlation was then estimated 531 between the resulting smoothed residuals at different lags by shifting the YOK-I 532 datasets ahead of the dTSI data appropriately. Using a window of 450 years over 533 the data, the evolution of lagged correlation was obtained which helped 534 demarcate distinct time periods based on the behavior of the lagged correlation 535 values over time (Fig. 8B).

536 The analysis reveals the presence of statistically significant correlations with a 537 persistent lag between 30 and 50 years of DCF_{YOK-I} with respect to dTSI during 538 the period 900-1250 C.E. However, for the period after ~1250 C.E., we fail to 539 detect similar statistically significant correlations. The same analysis was also 540 performed on δ^{13} C, yielding very similar results as DCF_{Y0K-1} (although the lag 541 extends between 10-50 years in this case) (Fig. 8B). These observations strongly 542 suggest that hydrologic change at Yok Balum Cave occurred several decades 543 after shifts in atmospheric ¹⁴C content, induced by solar irradiance, and were not 544 a direct reflection of contemporaneous atmospheric ¹⁴C. Rainfall at Yok Balum 545 Cave is largely controlled by the seasonal migration of the ITCZ, and due to the cave's location at the present-day northern boundary of the annual ITCZ range, 546 547 stalagmites from the site are very sensitive to subtle southward ITCZ migration (Ridley et al., 2015a). Because the ITCZ tracks the Earth's thermal equator, it 548 549 migrates in response to hemispheric and global temperature shifts (Schneider et 550 al., 2014), controlled by the strength of the Sun, which also modulates 551 atmospheric ¹⁴C content. Two possible processes could induce a lagged response 552 to the atmospheric records in DCF_{YOK-I}:

i) The stalagmite DCF is influenced by a large pool of 'old' organic carbon derivedfrom the soil or deep vadose zone, or

ii) The lag is an actual reflection of a delayed response of rainfall patterns at YokBalum Cave to solar forcing on climate.

The presence of large amounts of old carbon in the karst system is unlikely, because the model results (based on the YOK-I bomb spike) suggest otherwise. In addition, the fact that the lagged response to solar forcing is detectable in both DCF and δ^{13} C (and U/Ca) suggests that there is another factor influencing both proxies. Numerous studies have found decadal-scale lags (on the order of 10-40 years) in the response of rainfall patterns to solar forcing (Kobashi et al., 2015; Moffa-Sanchez et al., 2014; Shindell et al., 2001; Swingedouw et al., 2011; Waple 564 et al., 2002). It is possible that a similar delayed response of Mesoamerican 565 rainfall to solar forcing results in the lag observed in DCF_{YOK-L}, especially prior to 566 1250 C.E. Although the precise nature of the observed lag is unclear, solar-567 induced changes in the amount of freshwater and/or sea ice delivered to the 568 North Atlantic basin and subsequent feedbacks in oceanic and atmospheric 569 dynamics (e.g., in the state of the North Atlantic Oscillation) may play a role 570 (Kobashi et al., 2015; Swingedouw et al., 2011; Waple et al., 2002). A possible solar influence on drought occurrence in the Yucatan has previously been 571 572 suggested by Hodell et al. (2001). The apparent weakening of the solar influence on the YOK-I record after 1250 C.E. suggests a shift in the mechanism 573 574 responsible for the observed lag between solar activity and rainfall at Yok Balum 575 Cave. Although the causes for the lagged response between DCF_{YOK-I} and solar 576 activity remain unclear, we note that the breaking down of the lagged proxy-Sun 577 relationship (potentially a complete decoupling between rainfall and solar 578 activity) is broadly synchronous with the beginning of the Little Ice Age (LIA), a period of extensive cooling in the Northern Hemisphere (Mann et al., 2009). This 579 580 could therefore reflect a decreased influence of solar activity on hydroclimate (at 581 least in Mesoamerica) during the LIA, and emergence of a different dominant 582 forcing on ITCZ position (e.g., volcanism, Miller et al., 2012). However, other 583 climate reconstructions and more extensive research are required to verify this 584 interpretation.

585

586 **6. Conclusions**

587 We present a comprehensive study of carbon cycling and the controls on588 stalagmite DCF at the tropical Yok Balum Cave, southern Belize. Subdecadal-scale

589 DCF, δ^{13} C, and U/Ca records from stalagmite YOK-I covering the last 1500 years, 590 combined with bulk SOC, WEOC, and modeling analysis of ¹⁴C, reveal the sources 591 of carbon incorporated in stalagmite YOK-I, and on the factors and processes that 592 give rise to variations in DCF:

593 Overall, the largest contribution to total DCF_{YOK-I} is carbonate bedrock 594 dissolution in the karst, significantly modulated by hydrological 595 conditions. Contributions of SOM to the total DCF_{YOK-I} are relatively small, 596 due to the fast SOM turnover and low carbon storage potential of the soil. 597 Dynamic ventilation of the cave system and seasonal aridity in the region 598 results in strong kinetic fractionation effects and PAP acting on δ^{13} C and 599 U/Ca. We acknowledge, however, that our approach of using constant 600 vegetation and SOM parameters in the model might bear some 601 weaknesses and should be refined by future studies.

• We find a strong relationship between DCF, δ^{13} C, and U/Ca, suggesting a common forcing factor on all three proxies (i.e., hydroclimate conditions above the cave). These results highlight the potential usefulness of δ^{13} C and trace element ratios to track changes in stalagmite DCF, and could help detecting past shifts in DCF when no independent age control is available (e.g., for periods beyond the tree-ring based interval of the atmospheric ¹⁴C calibration curve) or for stalagmite ¹⁴C dating purposes.

• Comparison of the high-resolution DCF_{YOK-1} and δ^{13} C records to IntCal13 and solar records shows compelling similarity with a variable lag (10-50 years) in the response of YOK-I to the solar forcing. We suggest that rainfall above the site was driven by solar forcing but with a lagged response, and raise the possibility that solar forcing of ITCZ position 614 varies temporally, and becomes much less prominent after the transition615 into the LIA.

616

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843

844 **Tables**:

Table 1: Results of the proxy study on stalagmite YOK-I. DCF was calculated

846 using the formula:
$$DCF = 1 - \left(\frac{a^{14}C_{stal.init.}}{a^{14}C_{atm.init.}} \right)$$

847 where $a^{14}C_{\text{stal. init.}}$ and $a^{14}C_{\text{atm. init.}}$ represent stalagmite and atmosphere ¹⁴C activity

848 (respectively) at the time of carbonate deposition.

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Table 2: Analysis of soil samples. A profile consisting of 13 samples was collected

above Yok Balum Cave, and both bulk SOC and WEOC ¹⁴C were measured.

852

853 **Figures**:

Fig. 1. Maps of Yok Balum cave, southern Belize: A - Cave map showing the location of stalagmite YOK-I (red dot), and the approximate position of the soil profile collected above the cave (yellow circle) (Map A is adapted from a map by Tom Miller). B - Topographic map of the study site, with indication of the location of Yok Balum cave. C - Overview map of Central America and the general setting of the cave. (Maps in B and C are by A.E. Thompson, courtesy of the Uxbenká Archaeological Project).

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862 Fig. 2: A - Photoscan of the section of stalagmite YOK-I that was analysed for ¹⁴C together with results from ¹⁴C analysis (U/Th ages are shown for comparison). A 863 864 pronounced bomb spike appears at the top of the stalagmite. B - Bomb spike 865 recorded in stalagmite YOK-I (red dots), compared to the atmospheric record 866 from the northern Hemisphere zone 2 (Hua et al. (2013), black line), and to the 867 bomb spike recorded in stalagmite YOK-G from the same cave (grey dots, Ridley 868 et al. (2015a)). Signal damping due to the age spectrum of SOM results in the lower amplitude and slightly delayed response of stalagmites YOK-I and YOK-G 869 870 with respect to the atmosphere.

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Fig. 3: Results of the analysis of geochemical proxies in stalagmite YOK-I: A - DCF calculated from ¹⁴C measurements (purple line, including 1 σ errors); B - U/Ca in ppm/ppm x 1000 (green line); C - δ^{13} C measured on the same aliquots as used for ¹⁴C analysis (dark red diamonds) show that no sampling bias occurred with respect to the original high-resolution δ^{13} C time series (light red line); D - δ^{18} O from the original high-resolution time series (both high resolution stable isotope records were previously published in Kennett et al., 2012).

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Fig. 4: Results from the analysis of the soil profile collected above Yok Balum cave. Amount of carbon present in the samples was determined twice, showing very reproducible results (grey and black dots). F¹⁴C shows regularly decreasing values through the bulk SOC profile with bomb carbon imprint in the top 10 cm (red dots), and a slower decrease in the WEOC (blue dots).

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886 Fig. 5: Results of the modeling procedure on stalagmite YOK-I: A - The best fit of 887 the model with the bomb spike data (black symbols) is shown by the red dashed 888 line, the atmospheric bomb spike is shown for comparison in blue. B - The 889 calculated soil air ¹⁴C activity, after applying the SOM spectrum derived from the 890 bomb spike on the entire time series, is shown in green. Fractionation between 891 gaseous CO₂ and DIC results in slight enrichment (orange line). The atmospheric 892 activity is shown in black for comparison. C - Results of the deconvolution of 893 DCF: the black line shows the total DCF as measured on the stalagmite. The DCF 894 contribution from vegetation/SOM is shown by the green line, and in-cave fractionation effects result in the orange line. DCF derived from host rock 895 896 dissolution is shown in purple.

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898 Fig. 6: Evolution of δ^{13} C in the Yok Balum karst system, determined by modeling. 899 A - δ^{13} C of the drip water (blue line), calculated using the measured total DCF, 900 indicating the degree of open vs. closed system and consequently soil CO₂ exchange with the aqueous solution in the karst. B - U/Ca (green line) is 901 902 modulated by PAP, and shows remarkable similarity with stalagmite δ^{13} C. C -903 $\Delta \delta^{13}$ C (black line) is calculated as the difference between δ^{13} C of the drip water 904 and the stalagmite, and reflects the amount of kinetic fractionation affecting the 905 sample (as described in Griffiths et al. (2012)). D - δ^{13} C in YOK-I (red line), 906 underlain by the high resolution profile presented in Kennett et al. (2012).

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908 Fig. 7: Relationship between δ^{13} C, U/Ca and DCF in stalagmite YOK-I: A -909 Relationship between δ^{13} C and U/Ca. A significant linear correlation (black line, r 910 = -0.83, p<0.001; 95% confidence interval as grey dashed line) exists between 911 δ^{13} C and U/Ca ratios. DCF values are color-coded. B - Scatterplots showing the 912 relationship between DCF and δ^{13} C (upper), and DCF and U/Ca (lower), with 913 associated correlation coefficients. All proxies are influenced by karst 914 infiltration: δ^{13} C reflects the amount of PCP/PAP and kinetic fractionation in the 915 cave, whereas U/Ca is influenced by PAP. DCF responds to the degree of open-vs-916 closed system conditions in the karst, modulated by changes in effective 917 infiltration.

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919 Fig. 8: External forcing on YOK-I carbon isotopic records: A - Comparison of YOK-I DCF and δ^{13} C to total solar irradiance (dTSI) calculated from ¹⁰Be (blue curve) 920 921 (Steinhilber et al., 2009) and atmospheric Δ^{14} C from IntCal13 (grey curve) (Reimer et al., 2013). Dashed lines indicate features present in all records 922 923 suggesting solar forcing with a variable lag on precipitation at Yok Balum Cave. 924 U/Th ages for stalagmite YOK-I are shown to highlight the excellent age control 925 of the record. B - Lag-correlation plots quantifying the lag between DCF and δ^{13} C 926 at Yok Balum Cave and dTSI (Steinhilber et al., 2009). The test was done with 5000 randomized surrogates for each lag. Dashed lines indicate significant 927 928 values (two-sided at 0.05 significance level with Bonferroni correction). A band 929 of high correlations lagging the solar forcing by \sim 30-50 years for DCF, and \sim 10-930 50 for δ^{13} C is visible between ~900-1250 C.E., but the relationship breaks down 931 in the younger part of the record (1300-1700 C.E.). Note that δ^{13} C is plotted on 932 an inverse colorbar compared to DCF since the two proxies have the opposite 933 response to hydrological changes (wetter: DCF increases, δ^{13} C decreases).

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935 Suppl. Fig. 1: Conceptual diagram of carbon cycle processes occurring in a karst 936 system and the associated response in the hydrological proxies (¹⁴C, δ^{13} C and 937 U/Ca) used in this study.

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Suppl. Fig. 2: Schematic of the modeling process (as in Griffiths et al., 2012) as applied to the dataset from stalagmite YOK-I. The model is composed of two parts: in a first step, the stalagmite bomb spike is used to calculate the best fitting SOM spectrum, by using a Monte Carlo optimization process. In the second part, the SOM spectrum is applied to the remaining stalagmite dataset and the contributions to DCF from vegetation, in-cave fractionation and host rock dissolution can be separated and quantified.

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