

LETTER • **OPEN ACCESS**

## First process-based simulations of climate change impacts on global tea production indicate large effects in the World's major producer countries

To cite this article: Tim Beringer *et al* 2020 *Environ. Res. Lett.* **15** 034023

View the [article online](#) for updates and enhancements.

## Environmental Research Letters



## LETTER

## First process-based simulations of climate change impacts on global tea production indicate large effects in the World's major producer countries

## OPEN ACCESS

## RECEIVED

11 September 2019

## REVISED

22 November 2019

## ACCEPTED FOR PUBLICATION

20 December 2019

## PUBLISHED

21 February 2020

Original content from this work may be used under the terms of the [Creative Commons Attribution 3.0 licence](#).

Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.

Tim Beringer<sup>1</sup>, Michal Kulak<sup>2</sup>, Christoph Müller<sup>1</sup>, Sibyll Schaphoff<sup>1</sup> and Yvonne Jans<sup>1</sup><sup>1</sup> Potsdam Institute for Climate Impact Research (PIK), Member of the Leibniz Association, Climate Resilience, Potsdam, Germany<sup>2</sup> Unilever, Safety and Environmental Assurance Centre (SEAC), Bedfordshire, Colworth Science Park, Sharnbrook, United KingdomE-mail: [tim.beringer@pik-potsdam.de](mailto:tim.beringer@pik-potsdam.de)**Keywords:** climate change, tea, agriculture, crop model, climate change impactsSupplementary material for this article is available [online](#)**Abstract**

Modeling of climate change impacts have mainly been focused on a small number of annual staple crops that provide most of the world's calories. Crop models typically do not represent perennial crops despite their high economic, nutritional, or cultural value. Here we assess climate change impacts on global tea production, chosen because of its high importance in culture and livelihoods of people around the world. We extended the dynamic global vegetation model with managed land, LPJmL4, global crop model to simulate the cultivation of tea plants. Simulated tea yields were validated and found in good agreement with historical observations as well as experiments on the effects of increasing CO<sub>2</sub> concentrations. We then projected yields into the future under a range of climate scenarios from the Inter-Sectoral Impact Model Intercomparison Project. Under current irrigation levels and lowest climate change scenarios, tea yields are expected to decrease in major producing countries. In most climate scenarios, we project that tea yields are set to increase in China, India, and Vietnam. However, yield losses are expected to affect Kenya, Indonesia, and Sri Lanka. If abundant water supply and full irrigation is assumed for all tea cultivation areas, yields are projected to increase in all regions.

**1. Introduction**

The impacts of global climate change on many agricultural systems remain uncertain, but modeling analyses indicate that, without adequate adaptation measures, crop yields are likely to decline overall by 2050 and more strongly towards the end of the century (Nelson *et al* 2014, Porter *et al* 2014, Meijl *et al* 2018). Both modeling and experimental climate change impact studies for the agricultural sector have largely focused on a small set of annual staple food crops such as wheat, maize, and rice, which are most relevant for global calorie supply and land use. However, perennial crops cover around 10% of the world's cropland (FAO 2019) and often have high nutritional and economic value (Yahia *et al* 2019). Tree and leaf crops differ from annual grain crops in physiology, environmental requirements, as well as management and

therefore require dedicated modeling approaches. Including perennial and tree crops in climate impact analyses is essential to broaden our understanding of the manifold repercussions of climate change on the agricultural sector.

Tea is one of the world's most widely consumed beverages and the global tea market was recently valued at 15 billion dollars (FAO 2015). Tea cultivation requires specific agroclimatic conditions (Kabir 2002) and the major producing countries include China, India, Kenya and Sri Lanka. Given the geographic specificity of supply, climate induced changes to crop yields can quickly affect commodity markets and livelihoods of people dependent on the tea value chain. Despite the potential socioeconomic consequences, only a few studies have investigated the impacts of climate change on tea cultivation (Ahmed *et al* 2014, Hong and Yabe 2017). Earlier studies, based

on simple climate envelope approaches, concluded that large areas in East Africa will no longer be suitable for tea cultivation (CIAT 2011a, 2011b). Another study using a 15 year time series of yield and weather data from Sri Lanka also extrapolated a decline in annual tea production under a high emissions scenario (Gunathilaka *et al* 2017). However, these statistical methods did not account for the effects of rising CO<sub>2</sub> concentrations on the productivity and water use efficiency of plants. A process-based modeling approach accounting for the effects of temperature, water availability, CO<sub>2</sub> fertilization and crop management (irrigation and harvest) is therefore needed to refine these assessments. Process-based models use representations of biogeochemical processes such as photosynthesis and transpiration to simulate plant growth and hence do not rely on observed statistical relationships that may differ under climate change (Cuddington *et al* 2013).

Here we introduce an extended version of the process-based dynamic global vegetation model with managed land LPJmL4 (Schaphoff *et al* 2018a, 2018b) capable of simulating tea plant growth under different climate and management scenarios at the global scale. We evaluate the new model features against observed tea yields at the national level from different world regions and at different sites, including two experimental sites that grow tea under elevated atmospheric carbon dioxide concentrations ([CO<sub>2</sub>]). Finally, we analyze the effects of future climate change on current tea cultivation by the end of the century using climate scenarios from five different Global Climate Models (GCMs) based on four representative concentrations pathways (RCPs) that describe alternative future greenhouse gas emission trajectories (van Vuuren *et al* 2011).

## 2. Methods

### 2.1. The LPJmL model

LPJmL is a process-based global crop model that provides an integrated framework to study the effects of climate and human land use on terrestrial ecosystems, the carbon and water cycle, and on agricultural productivity. The model simulates key ecosystem processes and services including net primary productivity, carbon stocks in vegetation and soils, as well as agricultural yields and irrigation demand (Schaphoff *et al* 2018a, 2018b). Growth and productivity of natural and agricultural vegetation are consistently linked through their water, carbon, and energy fluxes. This enables modeling of a broad range of impacts from land use change, CO<sub>2</sub> fertilization, and climate change on the terrestrial biosphere as well as feedbacks within them. LPJmL represents the diversity of plant species based on a limited set of generic functional types, i.e. prototypes of plants representing groups of species with similar physiological and morphological

traits. Currently, LPJmL uses 10 natural plant functional types (PFTs), 12 crop functional types (CFTs), and 3 bioenergy functional types (BFTs). PFTs are used to reduce the complexity of species diversity to a few generic types that represent groupings of plant species with similar structure, phenology, and physiology. Each PFT is defined by a number of parameters that define bioclimatic limits or decay rates of dead plant materials derived from observable characteristics such as species distribution or plant height. CFTs and BFTs use the same concept as the PFTs to represent a range of plant species used in agriculture, but with additional agro-ecosystem-oriented functions and parameters, e.g. vernalization requirements or harvest index range (Bondeau *et al* 2007, Beringer *et al* 2011). LPJmL has been validated against a broad range of observed data (Schaphoff *et al* 2018b).

Data on weather and soils are used to drive the model. Depending on the timeframe of the simulations either observed climate or scenarios from climate models are used. The soil consists of a fast and a slow organic matter pool and is divided into five hydrological active layers down to a depth of 3 m. For this study we used soil texture data from the Harmonized World Soil Database (HWSD) (FAO/IIASA/ISRIC/ISS-CAS/JRC 2012). Current land use was not considered in our modeling setup; instead we simulated tea plantations everywhere to compute possible productivity levels for fully irrigated and purely rainfed conditions on all land, i.e. also outside currently used areas, following the ISIMIP modeling protocol (Rosenzweig *et al* 2014, Frieler *et al* 2017).

### 2.2. Implementation of tea cultivation in LPJmL

Tea (*Camellia sinensis*) is an evergreen shrub or small tree native to East Asia, the Indian Subcontinent, and Southeast Asia. Cultivation occurs in tropical and subtropical regions across the world. Tea plants grow best under tropical and subtropical climates and require annual rainfall of at least 1250 mm yr<sup>-1</sup> (FAO 2015). Plants can tolerate temperatures up to -17 °C, but annual mean temperatures should exceed 13 °C for optimal plant growth (Li *et al* 2012). Different varieties of tea have economic life spans between 40 and 100 years. When cultivated, *Camellia sinensis* is usually kept at waist height by pruning in order to facilitate easy access during leaf harvest (Carr 2018).

The further development of the model presented here is based on previous work that introduced a framework for simulating tree crops in LPJmL (Fader *et al* 2015). Agricultural trees, as implemented by Fader *et al* (2015), are established as larger saplings compared to trees in natural vegetation in order to reflect initial growth in nurseries. Agricultural trees require a few parameters not otherwise used for natural trees or annual crops. These include a

**Table 1.** Overview of CFT parameters that have been changed from their original values in the reference PFT (tropical broadleaved evergreen tree). For the full list of model parameters see Schaphoff *et al* (2018a).

Parameter	Description	Value
allom1	Allometry parameter 1	60
allom2	Allometry parameter 2	40
allom3	Allometry parameter 3	0.97
$h_{\max}$	Maximum height of tea trees (m)	1.3
$k_{\text{est}}$	Tree density on plantation (trees/ha).	12 000
$\alpha_a$	fraction of photosynthetically active radiation (PAR) assimilated at stand level relative to leaf level (%)	1.0
$t_{\text{opt}}$	lower and upper limit of optimum temperature for photosynthesis ( $^{\circ}\text{C}$ )	20.36
HR	Harvest ratio: share of net primary productivity harvested	0.15

country-specific planting density, and a pre-defined tree-specific parameter that determines the number of years over which the trees need to grow before they are harvested for the first time. After the pre-harvest phase, a fixed share of plant productivity is allocated to harvest, i.e. the harvest ratio. We make use of the same modeling principles for tea, which was not included in the set of agricultural trees presented by Fader *et al* (2015).

We parameterized the tea crop as a tropical broadleaved evergreen agricultural tree using initial parameters from the tropical broadleaved evergreen PFT (TrBE), as parameterized in the LPJmL for natural ecosystems. Several plant parameters were revised to represent physiological and morphological characteristics of the tea plant (table 1).

Tea trees are maintained at a low height on plantations to facilitate harvesting, i.e. shape and size of the tea plants develop differently compared to unmanaged plants in natural vegetation. Allometric rules in LPJmL prescribe carbon allocation to different plant compartments and hence their relative sizes (parameters allom1, allom2, allom3). For tea, these were adapted to account for the size and proportions of tea trees under plantation management to allow tea trees to develop relatively large crowns at small heights and stem diameters compared PFTs in natural vegetation. At the defined maximum height of 1.3 m (parameter  $h_{\max}$ ), the crown of tea trees reaches a maximum crown diameter of about 0.6 m in line with a plant spacing of  $0.6 \times 1.3$  m for a planting density of 12 000 plants/ha (Kigalu 2007). Figure 1 shows the increase in crown diameter with tree height for tea and TrBE trees in LPJmL. Typical plant densities on tea plantations today range between 10 000 and 14 000 plants per hectare (Carr 2018). Here we use the intermediate value of 12 000 plants per hectare in all simulations and regions (parameter  $k_{\text{est}}$ ).

In natural ecosystems, leaf-level photosynthesis is scaled to stand-level with the parameter  $\alpha_a$ , which can

reach 1.0 in intensely managed agricultural ecosystems (Haxeltine and Prentice 1996). Regular spacing and low heights of tea trees on plantations reduce mutual shading and increase the amount of incoming solar radiation available for individual plants. Consequently, we set  $\alpha_a$  to one for tea plantations (table 1). Matthews and Stephens (1998) report an optimal temperature range for photosynthesis in tea plants of  $20^{\circ}\text{C}$ – $36^{\circ}\text{C}$  which we also adopt in the model (parameter  $t_{\text{opt}}$ ).

We used tea yield data from the Kericho area in Kenya, provided by Unilever, to determine a value of 0.15 for the harvest ratio so that simulated yields levels were comparable to observed yields (parameter HR). During the parameterization procedure, the harvest ratio was only determined for a single grid cell which corresponds to the location of the Kericho plantations. The 0.15 value was then used globally in all simulations presented here.

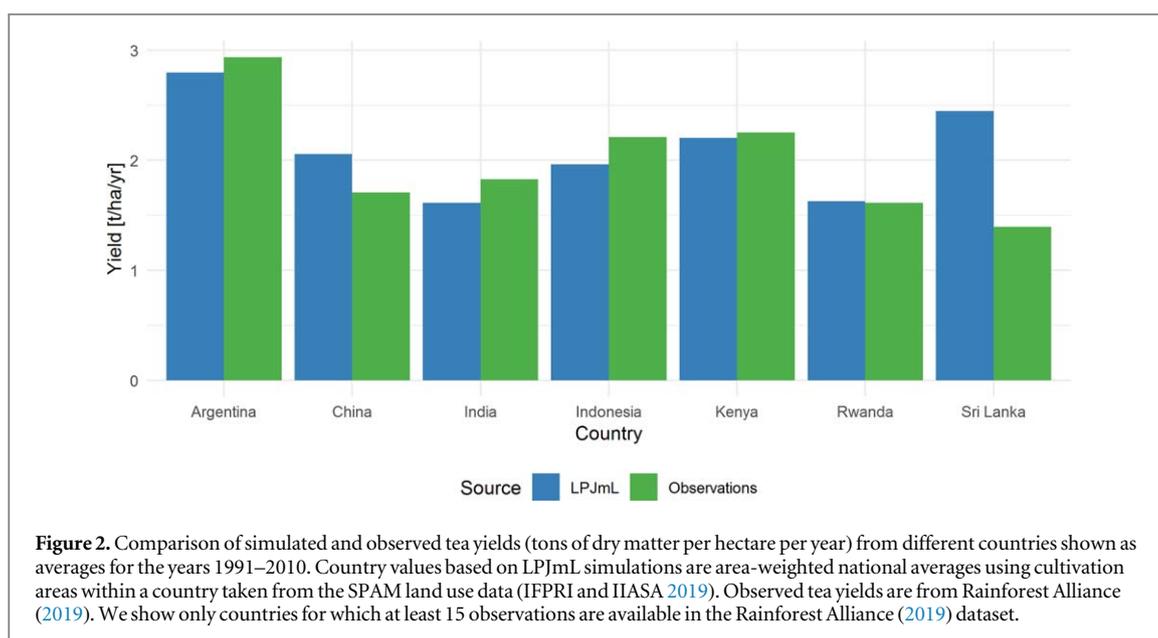
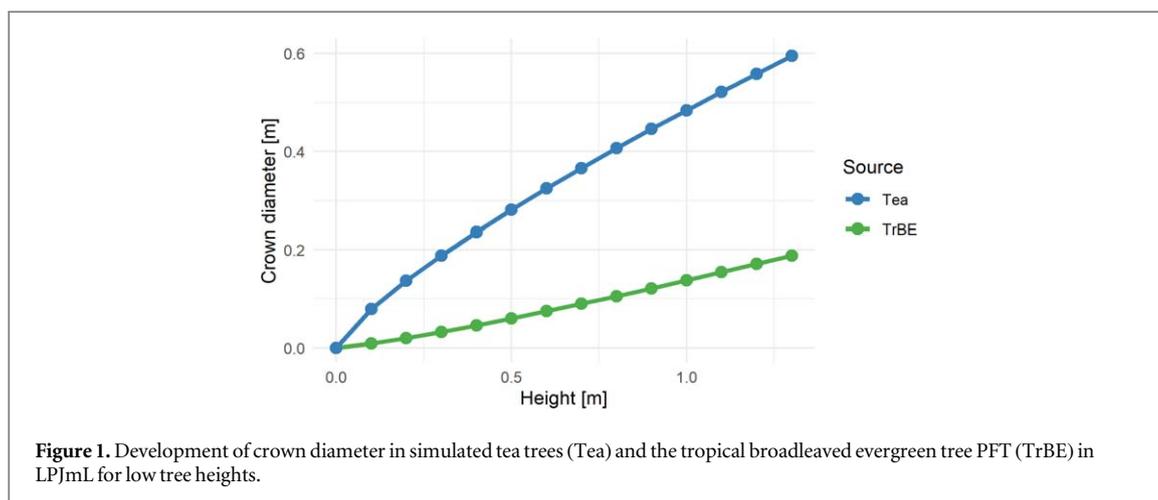
LPJmL expresses simulated yields in units of carbon. For the comparisons with the yield statistics we have therefore converted simulation results into units of dry matter assuming a carbon content of 45%.

### 2.3. Model evaluation

To validate the model performance under current climate, we used gridded daily mean temperature and cloud cover information from the CRU TS 3.23 climatology dataset (Harris *et al* 2014) and precipitation data from the GPCP Full Data Monthly Product Version 7 (Schneider *et al* 2015).

We evaluated the version of LPJmL presented here against observed tea yields published by the Rainforest Alliance (2019). This data set provides a list of 426 certified individual farms and groups in 21 countries including tea production areas and volumes from which we calculated yields per hectare. Locations for farms and groups within countries are not given so we aggregated available yields to the country level. For the comparison with LPJmL we only used data from countries for which at least 15 different observations are available to minimize the potential effect of outliers on country averages. We assumed that certified plantations are generally well managed, and yields are likely to be in the range of potential yields under local growing conditions with a small yield gap.

For comparison with yield statistics from Rainforest Alliance (2019), we aggregated the gridded yield simulations from LPJmL to area-weighted national means in current tea cultivation areas using data on harvested areas for the year 2005 from the Spatial Production Allocation Model 2005 version 3.2 (SPAM) (IFPRI and IIASA 2019). Yields from LPJmL were also averaged over a 20 year period (1991–2010) to calculate a representative, average yield level under current climate conditions.



We used data from Wijeratne *et al* (2007) for assessing the effects of elevated  $[\text{CO}_2]$  on tea yields.

#### 2.4. Projections of yields into the future

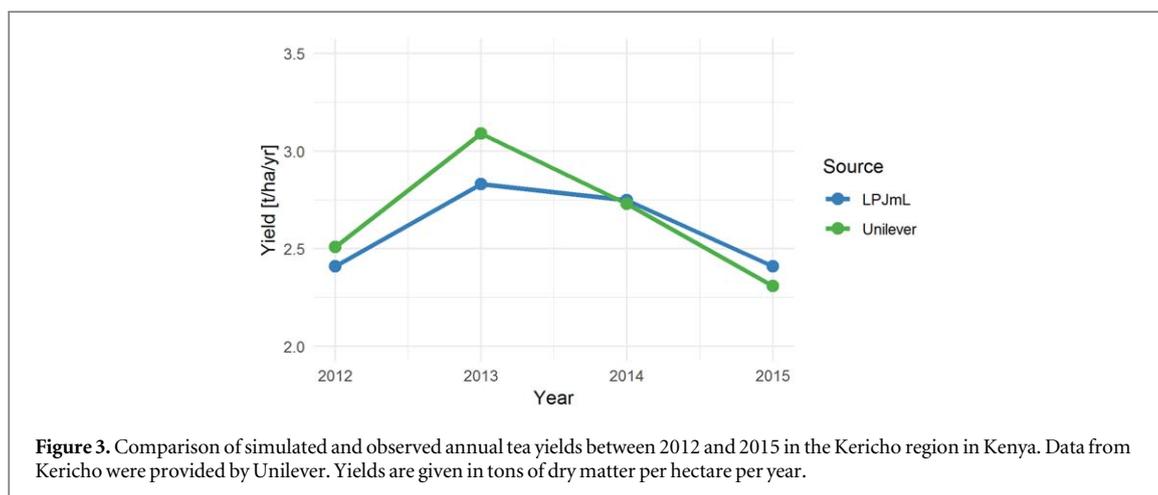
For the simulations of tea productivity under climate change we used climate scenarios from the Inter-Sectoral Impact Model Intercomparison Project (ISI-MIP) fast track initiative as in the ISIMIP fast track simulations (Rosenzweig *et al* 2014, Warszawski *et al* 2014). This dataset provides daily, bias-corrected, gridded climate data at  $0.5^\circ$  resolution from five different GCMs (Hempel *et al* 2013): HadGEM2-ES (Jones *et al* 2011), IPSL-CM5A-LR (Dufresne *et al* 2013), MIROC-ESM-CHEM (Watanabe *et al* 2011), GFDL-ESM2M (Dunne *et al* 2013a, 2013b), and NorESM1-M (Bentsen *et al* 2013, Iversen *et al* 2013). From each GCM we used climate scenarios based on all four RCPs (van Vuuren *et al* 2011) corresponding to mean changes in global surface air temperature of  $1^\circ\text{C}$  (RCP2.6),  $1.8^\circ\text{C}$  (RCP4.5),  $2.2^\circ\text{C}$  (RCP6.0) and  $3.7^\circ\text{C}$  (RCP8.5) by 2081–2100 relative to the 1986–2005 reference period (IPCC 2013).

Our analysis of climate impacts on future tea production focuses on the Worlds' ten major producer countries which comprise about 90% of all tea cultivation areas and global tea production (IFPRI and IIASA 2019).

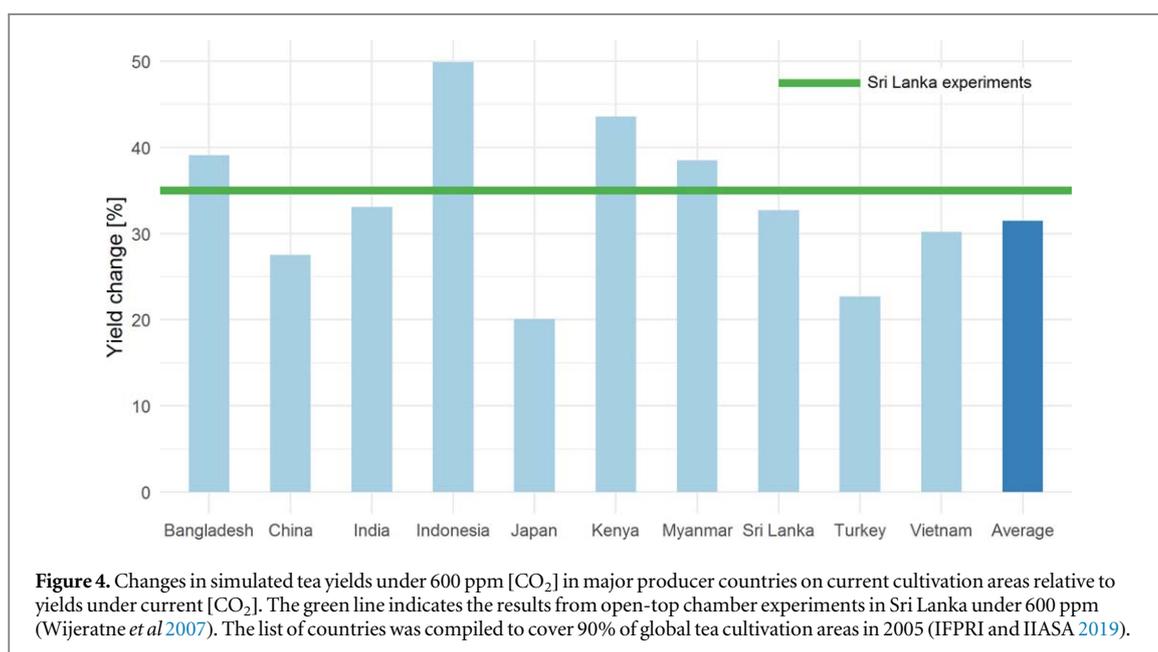
### 3. Results

#### 3.1. Evaluation of the tea model

Figure 2 shows a comparison of simulated and observed tea yields in major producer countries averaged over the 1991–2010 period. Yield values from LPJmL agree well the observations and also capture different yield levels in different countries. From these countries, Sri Lanka is the only exception where LPJmL is not able to reproduce the observed yield level. When excluding Sri Lanka from the data set, we find that simulated yields correlate significantly with observed yields ( $R^2$  0.9, root-mean-square error (RMSE) 0.2 t/ha/yr). Including Sri Lanka the



**Figure 3.** Comparison of simulated and observed annual tea yields between 2012 and 2015 in the Kericho region in Kenya. Data from Kericho were provided by Unilever. Yields are given in tons of dry matter per hectare per year.



**Figure 4.** Changes in simulated tea yields under 600 ppm [CO<sub>2</sub>] in major producer countries on current cultivation areas relative to yields under current [CO<sub>2</sub>]. The green line indicates the results from open-top chamber experiments in Sri Lanka under 600 ppm (Wijeratne *et al* 2007). The list of countries was compiled to cover 90% of global tea cultivation areas in 2005 (IFPRI and IIASA 2019).

correlation between observations and simulation is reduced to 0.54 (RMSE 0.3 t/ha/yr).

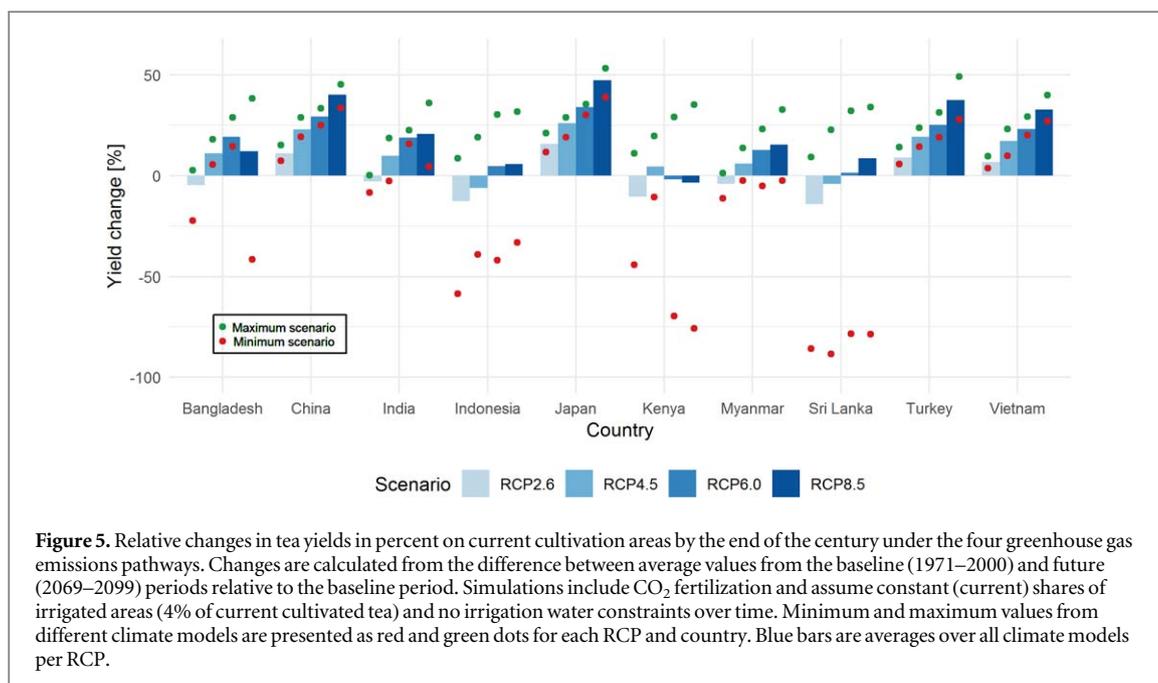
LPJmL is also able to reproduce the variability of tea yields over time at the plantation level. Figure 3 shows interannual yield changes between 2012 and 2015 in the Kericho region of Kenya simulated by LPJmL versus those observed at Unilever's Kericho tea plantations. The Unilever plantations are not irrigated and yield variations are therefore largely driven by differences in weather conditions during this period.

Figure 4 shows the effects of increased [CO<sub>2</sub>] on simulated tea yields in current plantation areas averaged over 1991–2010. The comparison of a simulation with 600 ppm with a simulation under observed [CO<sub>2</sub>] for this period shows a positive effect on yields in all regions with yield increases between 20% and 50%. The green line in figure 4 represents the results from open-chamber experiments at two locations in Sri Lanka that observed around 35% higher tea yields under 600 ppm [CO<sub>2</sub>] (Wijeratne *et al* 2007). Yield changes from elevated [CO<sub>2</sub>] in Sri Lanka simulated by

LPJmL are similar to the experimental results, as well as to the average change in all countries shown in figure 4. We also calculated changes in simulated yields at the exact locations of the experiments described in Wijeratne *et al* (2007) and found that yields in LPJmL increase by 36.1% on average at the two test sites, close to the measured increase of 35% (supplementary figure 1 is available online at [stacks.iop.org/ERL/15/034023/mmedia](https://stacks.iop.org/ERL/15/034023/mmedia)).

### 3.2. Tea yields under projected climate change scenarios

Figure 5 shows simulated changes in mean tea yields over all climate scenarios between 1971–2000 and 2069–2099 on current cultivation areas (IFPRI and IIASA 2019) in major producer countries assuming no irrigation water constraints on current irrigation areas. The simulations include CO<sub>2</sub> fertilization and assume no change in irrigated areas or management in the future. Generally, yield reductions occur under the



weakest climate change scenario RCP2.6 whereas tea yields increase under more extreme climate change scenarios in most regions. In China, Japan, Turkey, and Vietnam increased yields are projected under all climate change scenarios. On average across all regions, yields increase by 2%, 14%, 20%, and 27% under RCP2.6, RCP4.5, RCP6.0, and RCP8.5, respectively. The stronger CO<sub>2</sub> fertilization effect in RCP6.0 and RCP8.5 often overcompensates for the negative effects of climate change (supplementary figures 2–5). Indonesia, Kenya, Sri Lanka and to some extent Bangladesh are projected to see substantial yield declines under some climate scenarios but all countries are also projected to see increasing tea yields under other climate scenarios.

There are large differences in the results from different climate models. Results for China, India, Japan, Turkey, and Vietnam show relatively low model uncertainty. Results are consistent between models both in terms of direction and size. For other countries, the range of results from different climate models varies between large negative and large positive changes, mostly as a result of differences in projected changes in rainfall patterns as we assume no change in irrigation.

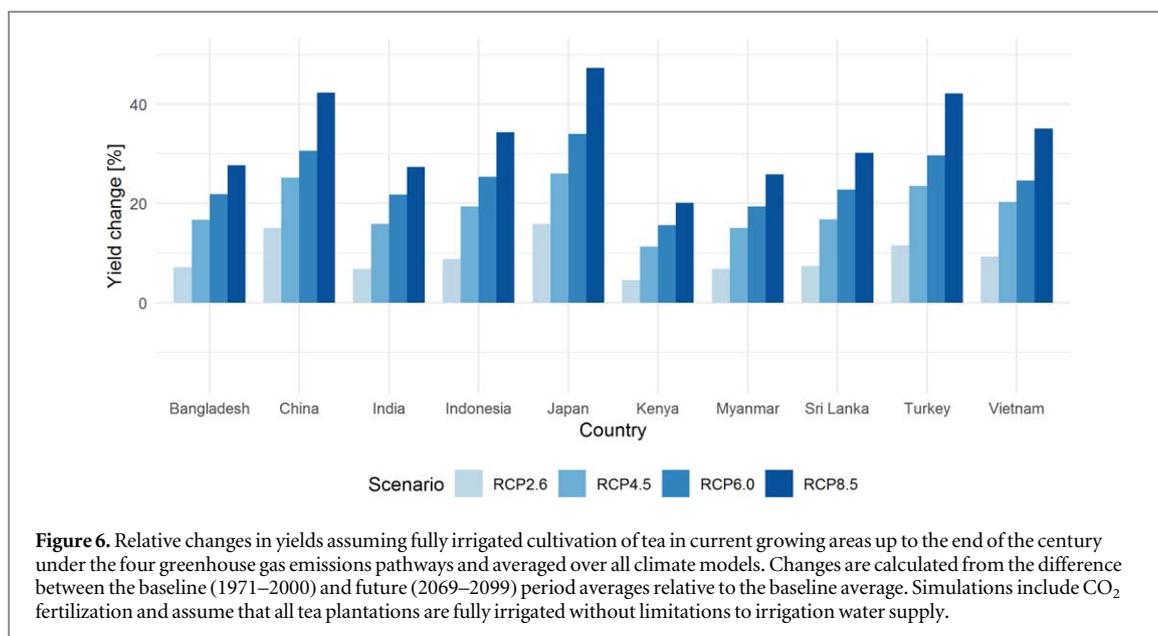
We performed additional simulations with LPJmL under constant [CO<sub>2</sub>] to determine the significance of the effect for the results. Without elevated CO<sub>2</sub> fertilization, we find that both rainfed and irrigated yields decline in all countries under all climate scenarios over the simulation period (supplementary figures 2–5).

Figure 6 shows long-term changes in tea yields under the assumption that all cultivated areas are fully irrigated without limits to water availability for irrigation. Avoiding water stress would fully offset the

negative impacts of climate change projected for some countries in the RCP2.6 scenario.

#### 4. Discussion

This work contributes to addressing gaps in our understanding of potential climate change impacts on valuable perennial crops. The extended version of LPJmL suitable for simulating tea cultivation performs relatively well in comparison to reference data from tea plantations in different countries. However, since the model does not account for nutrient limitations, pests and diseases, or sub-optimal management we expect that yield levels could be overestimated in regions with low intensity, smallholder production. This possibly explains why observed yields in Sri Lanka are substantially lower than simulated yields (figure 2). Yield levels in other countries are also often slightly underestimated, suggesting that other parameters may implicitly better represent sub-optimal management. These primarily include the parameterization of planting densities and the diversion of NPP to harvest. In the absence of more specific regional information, we use single global parameters here, but acknowledge that these parameters will likely vary, reflecting regional differences in management practices and environmental conditions. The model simulation shows good agreement with experimental data generated under increased [CO<sub>2</sub>]. As described above, our model is not able to accurately reproduce observed yields in Sri Lanka (overestimation of reported national average yields by 1 tDM/ha or 76%). Therefore, it would have been better to use data from another country to evaluate the reaction of LPJmL to higher [CO<sub>2</sub>]. However, we use the information from Wijeratne *et al* (2007) here because we are not aware of



any alternative study that investigated the influence of increased [CO<sub>2</sub>] on tea productivity. In general, data are scarce and more data would be desirable for more robust model evaluation. We also use historic climate data based on the spatial interpolation of data from weather stations with limited coverage in some regions. It is therefore likely that these data do not reflect local climate conditions well in all locations, exacerbating differences between simulated and observed yields noted in the evaluation of LPJmL.

The selection of GCMs available from the ISIMIP archive represents only a subset of all models available from the Coupled Model Intercomparison Project (CMIP). According to McSweeney and Jones (2016) data from ISIMIP cover only 75% of the full range of future projections for temperature and 55% for precipitation. Future assessments should therefore aim to capture the full plausible range of future climate change by using data from additional GCMs. Furthermore, extreme events are expected to become more frequent in the future (Sillmann *et al* 2013), but these events at local- to regional-scale are not well represented in GCMs (Maraun 2016).

Our analysis shows that the projected effects of climate change on currently important tea-growing regions will vary. According to our simulations, growing conditions for tea will improve in some countries, such as China and India, reflected in robust yield increases across most climate change scenarios assuming no expansion of irrigated areas from the current level and no water limitations on existing irrigated areas. However, projected climate impacts in Kenya, Sri Lanka, and Indonesia are more uncertain and strongly depend on the manifestation of changes in precipitation under climate change. While average changes over all climate scenarios indicate small yield decreases under limited climate change and small yield

gains under stronger climate change, some climate scenarios lead to large yield losses of above 50%.

Climate change effects on tea yields are strongly mitigated by rising [CO<sub>2</sub>]. It is possible that acclimation of photosynthesis that drives down productivity (Bagley *et al* 2015) and increasing nutrient limitations that constrain productivity (Reich *et al* 2006) may reduce the CO<sub>2</sub> effect in the longer term. An analysis of tropical trees found no stimulation of tree growth from rising [CO<sub>2</sub>] over the past 150 years, but only higher water use efficiencies indicating that vegetation models may overestimate the size of the CO<sub>2</sub> effect (Kolby Smith *et al* 2016). Effects of elevated [CO<sub>2</sub>], other than on productivity, such as on chemical composition of the crop as observed in other crops (e.g. Myers *et al* 2014) and possible effects on taste or insect damage also observed for other crops (e.g. Dermody *et al* 2008, Zavala *et al* 2008), could potentially be important for the economic profitability of tea production. Even though the impacts of projected changes in tea productivity on markets cannot be assessed with our simulations, we expect that these projections will help to better assess agricultural market dynamics and commodity diversity, which so far often assumes that crops not directly modeled will see similar climate change impacts as the main staple crops (Müller and Robertson 2014).

There are hardly any projections for changes in tea productivity under climate change available in the literature and our results are thus not well-embedded in other estimates that allow for a discussion of model-based uncertainties in the projections. This is also true for perennial crops in general, where very few studies are available which often look at very specific cases only (e.g. Lobell and Field 2011). Our overall results for Kenya are consistent with an earlier study that concluded that the suitability for tea cultivation on current Kenyan farmland will decline by the middle of the

century (CIAT 2011a). Against this background, the authors of that study discussed the question of whether a relocation of cultivated areas to higher altitudes would provide an option for adaptation. However, the authors warned that relocation could primarily affect protected and valuable natural ecosystems at higher elevations and hence cause environmental degradation. For this reason, relocation needs to be avoided and irrigation could become an important adaptation strategy in some regions (CIAT 2011a). While our stylized simulations assuming full irrigation and permanent water availability indicate that irrigation may counterbalance yield losses from climate change these simulations do not account for local water availability. This would require a different set of simulations in which the water consumption of other users within the relevant watersheds are also considered (e.g. Heinke *et al* 2019). Extreme events may further affect the availability of irrigation water to maintain production levels. Assessments of future irrigation water availability would also need to consider the efficiency of irrigation water techniques employed (Jägermeyr *et al* 2015), water regulation and land-use changes that may affect precipitation and water flow (Bonsch *et al* 2015).

Additional assessments of climate impacts on tea productivity using different crop models are also required to reduce uncertainties as crop models add a substantial share to the overall uncertainties of climate impact studies (e.g. Müller *et al* 2015). Here we can only show that the uncertainty from climate models is large but are unable to investigate how simulation results would differ when using an alternative crop model.

In addition to the influence of climate change on the annual productivity of tea plantations, potential changes in quality are also of central importance. Some chemical compounds of tea related to its health benefits are reduced under higher temperatures or shifting monsoon seasons (Kowalsick *et al* 2014, Nowogrodzki 2019). These effects cannot be represented with the current modeling approach in LPJmL.

## 5. Conclusion

Worldwide tea demand is projected to increase further and climate change will affect the world's most important producers differently. While some regions are likely to benefit from changes in temperature, precipitation, and elevated [CO<sub>2</sub>], other regions need to invest in adaptation measures. Cultivation of drought and heat resistant varieties, implementation of agroforestry systems, or additional irrigation may become necessary to stabilize and increase yield levels in the future.

We here present the first—to our best knowledge—process-based model for tea productivity, implemented in a well-established dynamic global

vegetation, hydrology and crop model. Although assessments of climate impacts on crop productivity are characterized by large uncertainties, the model evaluation showed promising good agreement with measured historical data. Our global analysis suggests that if no adaptation measures are put in place, most tea producing regions will be negatively affected under the mildest climate change conditions (RCP2.6) while Kenya will also be negatively affected under stronger climate change. If water limitations are addressed all regions are expected to profit from increased yield productivity. These findings support and accentuate the case for investment in climate change adaptation measures by major tea producers, especially efficient irrigation and water supply systems.

## Acknowledgments

We would like to thank two anonymous reviewers for very helpful comments on the manuscript. The work was funded by grants from Unilever Research and Development. The publication of this article was funded by the Open Access Fund of the Leibniz Association.

## Data availability

The data that support the findings of this study are openly available at <https://mygeohub.org/resources/agmip>.

## References

- Ahmed S *et al* 2014 Effects of extreme climate events on tea (*Camellia sinensis*) functional quality validate indigenous farmer knowledge and sensory preferences in tropical China *PLoS One* **9** e109126
- Bagley J, Rosenthal D M, Ruiz-Vera U M, Siebers M H, Kumar P, Ort D R and Bernacchi C J 2015 The influence of photosynthetic acclimation to rising CO<sub>2</sub> and warmer temperatures on leaf and canopy photosynthesis models: modeling leaf and canopy photosynthesis *Glob. Biogeochem. Cycles* **29** 194–206
- Bentsen M *et al* 2013 The Norwegian earth system model, NorESM1-M: I. Description and basic evaluation of the physical climate *Geosci. Model Dev.* **6** 687–720
- Beringer T, Lucht W and Schaphoff S 2011 Bioenergy production potential of global biomass plantations under environmental and agricultural constraints *GCB Bioenergy* **3** 299–312
- Bondeau A *et al* 2007 Modelling the role of agriculture for the 20th century global terrestrial carbon balance *Glob. Change Biol.* **13** 679–706
- Bonsch M *et al* 2015 Environmental flow provision: implications for agricultural water and land-use at the global scale *Glob. Environ. Change* **30** 113–32
- Carr M K V 2018 *Advances in Tea Agronomy* (Cambridge and New York, NY: Cambridge University Press)
- CIAT 2011a *Future Climate Scenarios for Kenya's Tea Growing Areas* (Cali, CO: Centro Interacional de Agricultura Tropical)
- CIAT 2011b *Future Climate Scenarios for Uganda's Tea Growing Areas* (Cali, CO: Centro Interacional de Agricultura Tropical)
- Cuddington K, Fortin M-J, Gerber L R, Hastings A, Liebhold A, O'Connor M and Ray C 2013 Process-based models are

- required to manage ecological systems in a changing world *Ecosphere* **4** 1–12
- Dermody O, O'Neill B F, Zangerl A R, Berenbaum M R and DeLucia E H 2008 Effects of elevated CO<sub>2</sub> and O<sub>3</sub> on leaf damage and insect abundance in a soybean agroecosystem *Arthropod-Plant Interact.* **2** 125–35
- Dufresne J-L *et al* 2013 Climate change projections using the IPSL-CM5 earth system model: from CMIP3 to CMIP5 *Clim. Dyn.* **40** 2123–65
- Dunne J P *et al* 2013a GFDL's ESM2 global coupled climate-carbon earth system models: I. Physical formulation and baseline simulation characteristics *J. Clim.* **25** 6646–65
- Dunne J P *et al* 2013b GFDL's ESM2 global coupled climate-carbon earth system models: II. Carbon system formulation and baseline simulation characteristics *J. Clim.* **26** 2247–67
- Fader M, von Bloh W, Shi S, Bondeau A and Cramer W 2015 Modelling Mediterranean agro-ecosystems by including agricultural trees in the LPJmL model *Geosci. Model Dev.* **8** 3545–61
- FAO 2015 World tea production and trade. Current and future development (FAO) (<http://fao.org/3/a-i4480e.pdf>)
- FAO 2019 FAOSTAT (<http://fao.org/faostat>)
- FAO/IIASA/ISRIC/ISSCAS/JRC 2012 Harmonized World Soil Database (version 1.2). (FAO, IIASA: Rome and Laxenburg, Austria)
- Frieler K *et al* 2017 Assessing the impacts of 1.5 °C global warming—simulation protocol of the Inter-Sectoral Impact Model Intercomparison Project (ISIMIP2b) *Geosci. Model Dev.* **10** 4321–45
- Gunathilaka R P D, Smart J C R and Fleming C M 2017 The impact of changing climate on perennial crops: the case of tea production in Sri Lanka *Clim. Change* **140** 577–92
- Harris I, Jones P D, Osborn T J and Lister D H 2014 Updated high-resolution grids of monthly climatic observations—the CRU TS3.10 dataset *Int. J. Climatol.* **34** 623–42
- Haxeltine A and Prentice I C 1996 A general model for the light-use efficiency of primary production *Funct. Ecol.* **10** 551–61
- Heinke J, Müller C, Lannerstad M, Gerten D and Lucht W 2019 Freshwater resources under success and failure of the Paris climate agreement *Earth Syst. Dyn.* **10** 205–17
- Hempel S, Frieler K, Warszawski L, Schewe J and Piontek F 2013 A trend-preserving bias correction—the ISI-MIP approach *Earth Syst. Dyn.* **4** 219–36
- Hong N B and Yabe M 2017 Improvement in irrigation water use efficiency: a strategy for climate change adaptation and sustainable development of Vietnamese tea production *Environ. Dev. Sustain.* **19** 1247–63
- IFPRI and IIASA 2019 Global Spatially-Disaggregated Crop Production Statistics Data for 2005 Version 3.2 (<https://doi.org/10.7910/DVN/DHXBXX>)
- IPCC 2013 Summary for policymakers *Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* ed T F Stocker *et al* (Cambridge and New York, NY: Cambridge University Press) [http://climatechange2013.org/images/report/WG1AR5\\_SPM\\_FINAL.pdf](http://climatechange2013.org/images/report/WG1AR5_SPM_FINAL.pdf)
- Iversen T *et al* 2013 The Norwegian earth system model, NorESM1-M: II. Climate response and scenario projections *Geosci. Model Dev.* **6** 389–415
- Jägermeyr J, Gerten D, Heinke J, Schaphoff S, Kummu M and Lucht W 2015 Water savings potentials of irrigation systems: global simulation of processes and linkages *Hydrol. Earth Syst. Sci.* **19** 3073–91
- Jones C D *et al* 2011 The HadGEM2-ES implementation of CMIP5 centennial simulations *Geosci. Model Dev.* **4** 543–70
- Kabir S E 2002 A study on ecophysiology of tea (*Camellia sinensis*) with special reference to the influence of climatic factors on physiology of a few selected tea clones of Darjeeling *Int. J. Tea Sci.* **1**
- Kigalu J M 2007 Effects of planting density and drought on the productivity of tea clones (*Camellia sinensis* L.): yield responses *Phys. Chem. Earth Parts ABC* **32** 1098–106
- Kolby Smith W, Reed S C, Cleveland C. C., Ballantyne A P, Anderegg W R L, Wieder W R, Liu Y Y and Running S W 2016 Large divergence of satellite and earth system model estimates of global terrestrial CO<sub>2</sub> fertilization *Nat. Clim. Change* **6** 306–10
- Kowalsick A, Kfoury N, Robbat A, Ahmed S, Orians C, Griffin T, Cash S B and Stepp J R 2014 Metabolite profiling of *Camellia sinensis* by automated sequential, multidimensional gas chromatography/mass spectrometry reveals strong monsoon effects on tea constituents *J. Chromatogr. A* **1370** 230–9
- Li B, Zhang F, Zhang L-W, Huang J-F, Jin Z-F and Gupta D K 2012 Comprehensive suitability evaluation of tea crops using GIS and a modified land ecological suitability evaluation model *Pedosphere* **22** 122–30
- Lobell D B and Field C B 2011 California perennial crops in a changing climate *Clim. Change* **109** 317–33
- Maraun D 2016 Bias correcting climate change simulations—a critical review *Curr. Clim. Change Rep.* **2** 211–20
- Matthews R B and Stephens W 1998 Cuppa-tea: a simulation model describing seasonal yield variation and potential production of Tea: II. Biomass production and water use *Exp. Agric.* **34** 369–89
- McSweeney C F and Jones R G 2016 How representative is the spread of climate projections from the 5 CMIP5 GCMs used in ISI-MIP? *Clim. Serv.* **1** 24–9
- Meijl H *et al* 2018 Comparing impacts of climate change and mitigation on global agriculture by 2050 *Environ. Res. Lett.* **13** 064021
- Müller C, Elliott J, Chryssanthacopoulos J, Deryng D, Folberth C, Pugh T A M and Schmid E 2015 Implications of climate mitigation for future agricultural production *Environ. Res. Lett.* **10** 125004
- Müller C and Robertson R D 2014 Projecting future crop productivity for global economic modeling *Agric. Econ.* **45** 37–50
- Myers S S *et al* 2014 Increasing CO<sub>2</sub> threatens human nutrition *Nature* **510** 139–42
- Nelson G C *et al* 2014 Climate change effects on agriculture: economic responses to biophysical shocks *Proc. Natl Acad. Sci.* **111** 3274–9
- Nowogrodzki A 2019 How climate change might affect tea *Nature* **566** S10
- Porter J R, Xie L, Challinor A J, Cochrane K, Howden S M, Iqbal M M, Lobell D B and Travasso M I 2014 Food security and food production systems *Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* ed C B Field *et al* (Cambridge and New York, NY: Cambridge University Press) pp 485–533
- Rainforest Alliance 2019 Certified farms and CoC operations (<https://rainforest-alliance.org/business/wp-content/uploads/2019/04/Certified-Farms-CoC-Operations-March2019.pdf>)
- Reich P B, Hobbie S E, Lee T, Ellsworth D S, West J B, Tilman D, Knops J M H, Naeem S and Trost J 2006 Nitrogen limitation constrains sustainability of ecosystem response to CO<sub>2</sub> *Nature* **440** 922
- Rosenzweig C *et al* 2014 Assessing agricultural risks of climate change in the 21st century in a global gridded crop model intercomparison *Proc. Natl Acad. Sci.* **111** 3268–73
- Schaphoff S *et al* 2018a LPJmL4—a dynamic global vegetation model with managed land: I. Model description *Geosci. Model Dev.* **11** 1343–75
- Schaphoff S *et al* 2018b LPJmL4—a dynamic global vegetation model with managed land: II. Model evaluation *Geosci. Model Dev.* **11** 1377–403
- Schneider *et al* 2015 GPCC full data monthly product version 7.0 at 0.5°: monthly land-surface precipitation from rain-gauges built on GTS-based and historic data ([https://doi.org/10.5676/DWD\\_GPCC/FD\\_M\\_V7\\_050](https://doi.org/10.5676/DWD_GPCC/FD_M_V7_050))
- Sillmann J, Kharin V V, Zwiers F W, Zhang X and Bronaugh D 2013 Climate extremes indices in the CMIP5 multimodel

- ensemble: II. Future climate projections *J. Geophys. Res. Atmospheres* **118** 2473–93
- van Vuuren D P *et al* 2011 The representative concentration pathways: an overview *Clim. Change* **109** 5–31
- Warszawski L, Frieler K, Huber V, Piontek F, Serdeczny O and Schewe J 2014 The Inter-sectoral impact model intercomparison project (ISI-MIP): project framework *Proc. Natl Acad. Sci.* **111** 3228–32
- Watanabe S *et al* 2011 MIROC-ESM 2010: model description and basic results of CMIP5-20c3m experiments *Geosci. Model Dev.* **4** 845–72
- Wijeratne M A, Anandacoomaraswamy A, Amarathunga M K S L D, Ratnasiri J, Basnayake B R S B and Kalra N 2007 Assessment of impact of climate change on productivity of tea (*Camellia sinensis* L.) plantations in Sri Lanka *J. Natl. Sci. Found. Sri Lanka* **35** 119
- Yahia E M, García-Solís P and Celis M E M 2019 Contribution of fruits and vegetables to human nutrition and health *Postharvest Physiology and Biochemistry of Fruits and Vegetables* ed E M Yahia (Amsterdam: Elsevier) ch 2 pp 19–45
- Zavala J A, Casteel C L, DeLucia E H and Berenbaum M R 2008 Anthropogenic increase in carbon dioxide compromises plant defense against invasive insects *Proc. Natl Acad. Sci.* **105** 5129–33