Article

Photodynamic Opening of the Blood–Brain Barrier Using Different Photosensitizers in Mice

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Abstract: In a series of previous studies, we demonstrated that the photodynamic therapy (PDT), as a widely used tool for treatment of glioblastoma multiforme (GBM), also site-specifically opens the blood–brain barrier (BBB) in PDT-dose and age-related manner via reversible disorganization of the tight junction machinery. To develop the effective protocol of PDT-opening of the BBB, here we answer the question of what kind of photosensitizer (PS) is the most effective for the BBB opening. We studied the PDT-opening of the BBB in healthy mice using commercial photosensitizers (PSs) such as 5-aminolevulenic acid (5-ALA), aluminum phthalocyanine disulfonate (AlPcS), zinc phthalocyanine (ZnPc) and new synthetized PSs such as galactose functionalized ZnPc (GalZnPc). The spectrofluorimetric assay of Evans Blue albumin complex (EBAC) leakage and 3-D confocal imaging of FITC-dextran 70 kDa (FITCD) extravasation clearly shows a revisable and dose depended PDT-opening of the BBB to EBAC and FITCD associated with a decrease in presence of tight junction (TJ) in the vascular endothelium. The PDT effects on the BBB permeability, TJ expression and the fluorescent signal from the brain tissues are more pronounced in PDT-GalZnPc vs. PDT-5-ALA/AlPcS/ZnPc. These pre-clinical data are the first important informative platform for an optimization of the PDT protocol in the light of new knowledge about PDT-opening of the BBB for drug brain delivery and for the therapy of brain diseases.

Keywords: blood–brain barrier; photodynamic effects; photosensitizers; drug brain delivery

1. Introduction

The blood–brain barrier (BBB) is a major hindrance for the effective delivery of therapeutic compounds to the brain [1]. Various strategies to overcome the BBB have been investigated, including
direct intranasal drug delivery [2], focused ultrasound [3], intra-arterial infusion of mannitol [4], or direct intracranial infusion [5]. Nowadays the photodynamic effect on the BBB is actively discussed as a new strategy for drug brain delivery, especially for post-surgery therapy of glioblastoma multiforme (GBM) [6]. Photodynamic therapy (PDT) is a widely used tool for therapy of GBM [7,8]. The PDT is based on a laser excitation of photosensitizers (porphyrins, chlorins, and many other photosensitive dyes) that is accompanied by producing singlet oxygen (\(1O_2\)) which oxidizes biomolecules causing cancer cell apoptosis and/or necrosis through plasma and mitochondrial membrane rupture. Recently, it has been revealed that PDT can also effectively and site-specifically open the BBB [9–14]. For the first time a targeted disruption of the BBB following a 5-aminolevulenic acid (5-ALA) mediated PDT via the opened skull was shown by Hirschberg et al. in 2008 [9]. Later Madsen et al. demonstrated a non-invasive PDT-opening of the BBB for macrophages using a 670 nm laser and aluminum phthalocyanine disulfonate (AlPcS) [10]. In a series of our experimental studies of PDT-5-ALA-opening of the BBB we have analyzed the optimal dose of 635 nm irradiation and 5-ALA, the time window for PDT-opening/closing of the BBB, mechanisms underlying this phenomenon and age differences in PDT-related increase in the BBB permeability, which is consistent with other data [11–15]. In this work we attempt to answer the question of what kind of photosensitizer might be most effective for PDT-opening of the BBB. Therefore, we analyzed the BBB permeability to high molecular weight molecules using two types of photosensitizers (PS) such as 5-ALA and phthalocyanines (PCs) since their application for drug delivery systems has been shown in other studies [16,17].

Phthalocyanines (PCs) are synthetic dyes that were obtained and described already in 1907 by Braun and Tcherniac [18]. PCs consist of four units of isoindoles bridged by nitrogen atoms and which form one aromatic heterocyclic structure. Main photophysical characteristics of PCs are: absorption spectrum with two important bands—the weak Soret-band located in the UV zone of the spectrum with maximum at 350–360 nm and the Q-band in the red zone of the visible spectrum at around 670–680 nm. The high intensity of the latter band, due to values of the molar extinction coefficient \(1 \times 10^5 \text{M}^{-1} \text{cm}^{-1}\) (two orders of magnitude higher than that at most of porphyrins) is one of the main characteristics of these dyes [19]. Photochemical properties of PCs are strongly influenced by the nature of the coordinated central metal ion. Thus, the presence of diamagnetic elements in the central cavity, such as zinc (II), aluminum (III), gallium (III) or silicon, gives them a high triplet quantum yield (\(\Phi_T > 0.4\)) with long triplet lifetimes (\(\tau_T > 100 \mu\text{s}\)). Triplet energy states with energy of about 1.2 eV, make them efficient generators of singlet oxygen with values of singlet oxygen quantum yields (SOQY) more than 0.4 [20,21].

We hypothesize that functionalization of PCs by galactose might be more effective for the PDT-mediated BBB opening due to enhancing the trans-endothelial permeability by galactose via activation of protein kinase C (PKC), a family of kinases vital to intracellular signaling that is a common effect of monosaccharides on vascular endothelium [22,23]. Our previous results indicate that functionalization of PCs by galactose is more favorable than zinc only due to their chemical properties [24,25]. Based on these facts, here we study the BBB opening in healthy mice using the PDT protocol with different PS, including commercial PS such as 5-ALA and AlPcS as well as new synthesized PS such as zinc phthalocyanine (ZnPc) and functionalized by galactose ZnPc (GalZnPc).

2. Materials and Methods

2.1. Subject

Experiments were performed in mongrel male mice (25 g) in accordance with the Guide for the Care and Use of Laboratory Animals published by the U.S. National Institutes of Health (NIH Publication No. 85-23, revised 1996), protocols were approved by the Institutional Review Board of the Saratov State University (Protocol 7, 07.02.2017). The rats were housed at 25 ± 2 °C, 55% humidity, and 12 h:12 h light—dark cycle. Food and water were given ad libitum.
The experiments were performed in the following groups: (1) three control groups, including mice without PDT (1a) the intact mice, (1b) no laser, (1c) no PS, injection of physiological saline (sham group); (2) four PDT groups, including mice after PDT using different PSs (2a) 5-ALA; (2b) AlPcS; (2c) ZnPc; (2d) GalZnPc; n = 10 in each group in all series of experiments.

2.2. Photodynamic Treatment Protocol

The mice were anaesthetized with ketamine (100 mg/kg, i.p.) and xylazine (10 mg/kg, i.p.), and fixed in a stereotactic frame. The PDT was performed 30 min after intravenous injection of PS (5-ALA, ALASENS, Niopik Inc., Moscow, Russian Federation, 20 mg/kg); AlPcS, ZnPc and the galactosilated zinc phthalocyanine (GalZnPc) were synthesized according to procedures described in our previous papers [18,19,26,27] with modifications depending on coordinating metal. Photodynamic treatment protocol is presented on Scheme 1.

![Scheme 1. Illustration of the time points for phthalocyanine injection and laser irradiation in relation to photodynamic treatment-mediated opening of the BBB and the brain collection.](image)

The epicranium was exposed by a parieto-occipital midline skin incision. With the use of a microsurgical technique, the periosteum was pushed back, and biparietal parasagittal groove-shaped trephination (2 × 2 mm) was performed with a microdrill (Craniotome Drill, Aesculap Inc., Pennsylvania, USA) accompanied by a continuous irrigation with saline to prevent heating of the tissue. Special care was taken to avoid a penetration of the dura mater.

A preliminary quantitative evaluation of the photosensitizer appearance in brain tissue was made using fluorescence detection in the red and near-infrared region (600–1000 nm). Excitation was used in the Soret-band of the sensitizers, and the light source applied was LED with maximum of emission at 400 nm and full width half maximum - FWHM = 20 nm, 25 mW output power (LED405, Polironik Ltd., Moscow, Russia). Fiberoptic probe 6 + 1 was used for delivery of excitation and emission light from the brain tissues. Microspectrometer USB4000 (OceanOptics Inc., Dunedin, USA) was used for detection of the exogenous fluorescence signals.

For irradiation at 635 nm, we used a high-power continuous-wave red LED (XPeBRD-L1-0000-00901, CREE, Inc.) emitting up to 1 W output light power with maximum at 635 nm, with a possibility to control the emitted-light power density in the range of 20–200 mW/cm² at a step of 10 mW/cm², as measured on the tip surface of an 8-mm diameter solid light-guide. The spectral width of the emitted light at full width at half maximum (FWHM) of intensity was 30 nm. For irradiation at 670 nm we used a laser diode (RLT67300T, Roithner, Vienna, Austria) with 300 mW maximum output power.

The murine brains sensitized with phthalocyanine compounds were also irradiated with a constant power density of 40 mW/cm² and exposure times of 62.5 s, 125 s, and 250 s to achieve a light dose of 2.5 J/cm², 5 J/cm² and 10 J/cm², respectively, for all types of photosensitive drugs applied.
The heating of the brain tissue caused by exposure to light was monitored by using a thermocouple data logger (Pico Technology, USB TC-08, Cambridgeshire, UK).

No significant temperature increase was observed during the light irradiation of the brain tissues. The temperature rise did not exceed 2 °C above the mouse body level of 28 °C for the irradiation doses chosen.

2.3. Spectrofluorometric Assay of Evans Blue Albumin Complex (EBAC) Extravasation

Three days before the experiments, the polyethylene catheter was implanted into the femoral vein for intravenous injection of Evans blue dye in awake mice. The implantation of a catheter was performed under anesthesia with ketamine (100 mg/kg, i.p.) and xylazine (10 mg/kg, i.p.). Before PDT Evans blue dye was injected and circulated in the blood for 30 min in accordance with our protocol [11,12]. At the end of the circulation time, mice were decapitated; their brains and blood were quickly collected and placed on ice (no anti-coagulation was used during blood collection). Prior to brain removal, the brain was perfused with saline to wash out the remaining dye in the cerebral vessels.

The detailed protocol of Evans blue albumin complex (EBAC) extraction and visualization was published by Wang et al. [28]. Briefly, the isolated brains were cut into small pieces and incubated with saline (1:3) for 60 min to enable the soluble substances to dissolve. Then the solutions were centrifuged at 10,000 × g for 10 min to sediment the non-dissolved parts. The supernatants that contained the brain solutes were treated by 50% trichloroacetic acid—TCA (1:3), centrifuged again at 10,000 × g for 20 min to remove precipitated molecules. The final supernatants were incubated in 95% ethanol to increase the optical signals for the spectrofluorometric assay (620 nm/680 nm, Agilent Cary Eclipse, Agilent, USA) on the base of the CCU “Symbiosis” IBPPM RAS. The standard calibration curve was created and used for calculation of the EBAC concentration (µg per g of tissues).

Despite the fact that a quantitative analysis of EBAC is still the most commonly used marker of the BBB integrity, there are some problems arising from its ex vivo and in vivo application: about 15% of Evans blue dye remains on the endothelium of cerebral vessels; Evans blue dye can be taken by red blood cells and astrocytes [29]. Therefore, for qualitative and quantitative assessment of the BBB integrity, we used additional methods for the analysis of the BBB permeability to low and high molecular weight molecules.

2.4. Confocal Microscopy of FITC-dextran 70 kDa Extravasation

Fluorescein isothiocyanate (FITC)-dextran 70 kDa (FTICD) was used as an additional valuable tool to characterize the BBB permeability to high molecular weight molecules. The protocol for FITCD to assess the BBB disruption using confocal microscopy is described in detail in [30]. Briefly, before (in the intact mice) or 1 h/4 h/24 h after PDT, FITCD 70 kDa (1 mg/25 g mouse, 0.5% solution in saline, Sigma-Aldrich) was injected into the tail vein and allowed to circulate for 2 min. Afterward mice were decapitated and the brains were quickly removed and fixed in 4% paraformaldehyde for 24 h, cut into 50-µm thick slices on a vibratome (Leica VT 1000S Microsystem, Germany) and analyzed using a confocal microscope (Olympus FV10i-W, Olympus, Japan). Approximately 8–12 slices per animal from cortical and subcortical (excepting hypothalamus and choroid plexus where the BBB is leaky) regions were imaged. The pericytes marker NG2 (1:500, ab 50009, Abcam, Cambridge, UK) was used for labeling of the cerebral vessels using the protocol for immunolabelling assay.

2.5. Immunohistochemical Assay

Mice were euthanized with an intraperitoneal injection of a lethal dose of ketamine and xylazine and intracardially perfused with 0.1 M of phosphate-buffered saline for 5 min. Afterward, the brains were removed and fixed in 4% buffered paraformaldehyde for one day and in 20% sucrose for another day. Fluorescence of antigens on free-floating sections was evaluated using the standard method of simultaneously combined staining (Abcam Protocol). Brain slices (50 µm) were blocked in 150 µL 10% bovine serum albumin/0.2% Triton X-100/PBS for 2 h, then incubated overnight at 4 °C and 2 h at room
temperature with Clauding-5 (CLND-5, 1:500; Santa Cruz Biotechnology, sc-28670, Santa Cruz, USA); Occludin (Occ, 1:500; Abcam, ab58803, Cambridge, USA); junctional adhesion molecule (JAM, 1:500, Abcam, ab1316, Cambridge, USA). After several rinses in PBS, the slides were incubated for 3 h at room temperature with fluorescent-labeled secondary antibodies on 1% BSA/0.2% Triton X-100/PBS (1:500; Goat A/Rb, Alexa 555- Abcam, UK, ab150078). Confocal microscopy of the mice cerebral cortex was performed using a fully automated confocal laser scanning microscope with water immersion Olympus FV10i-W (Olympus, Japan). The ImageJ software was used for image data processing and analysis. The areas of fluorescence from TJ were calculated using the plugin “Analyze Particles” in the Analyze tab, which calculates the total area of antigen-fluorescence—the indicator “Total Area.” In all cases, 10 regions of interest were analyzed.

2.6. Statistical Analysis

The results were reported as a mean value ± standard error of the mean (SEM). Differences from the initial level in the same group were evaluated by the Wilcoxon test. Intergroup differences were evaluated using the Mann–Whitney test and the ANOVA-2 (post hoc analysis with the Duncan’s rank test). The significance levels were set at \( p < 0.05 \) for all analyses.

3. Results

3.1. Phthalocyanine Functionalization by Galactose

The synthetic procedure for the galactosilated phthalocyanine—galactopyranosyl Zn(II)Pc—is according to the presented synthetic scheme (Scheme 2) and partly described in [31,32].

\[ \text{Scheme 2. Sequence of synthetic procedures for the synthesis of tetra-substituted Zn(II) phthalocyanine with \( \alpha \)-n-galactopyranose as functional group in peripheral and non-peripheral positions.} \]

In short, the synthesis procedure involves the following steps: 3-nitrophthalonitrile and 4-nitrophthalonitrile are suitable starting compounds for the synthesis of various non-peripheral and peripherally substituted phthalocyanines. The synthesis of the starting phthalonitrile-substituted galactopyranosyl groups (1) and (4)—precursors in the synthesis of phthalocyanines—proceeds via the
mechanism of nucleophilic replacement of the NO₂ group in DMF solution in the presence of a potassium carbonate base. A further step of the synthesis procedure involves the preparation of tetra-substituted conjugates of Zn(II) phthalocyanine with protected galactopyranose in a non-peripheral and a peripheral position. The reaction is cyclotetramerization of the resulting substituted phthalonitriles, which is run in a n-pentanol solution and in the presence of a catalyst: 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU) under a nitrogen atmosphere.

The final step of the synthesis procedure consists in unblocking the protective isopropylidene groups of the bound α-β-galactopyranose to ZnPc in a non-peripheral and a peripheral position (respectively compounds 3 and 6). During the investigations, tetra-substituted Zn(II) phthalocyanine conjugates with protected galactopyranose in a non-peripheral and a peripheral position were used as final products: [n-GalZnPc, p-GalZnPc]. The compounds were chemically characterized and their main absorption and fluorescence properties and the formation of singlet oxygen in their presence were investigated.

3.2. Photodynamic Opening of the BBB Using Different PSs

In this session of experiments, we studied the PDT-opening of the BBB using different PSs, including two commercial PSs such as 5-ALA and AlPcS as well as new synthetized ones, such as ZnPc and its functionalized form GalZnPc.

Figure 1-I illustrates molecular formulas of photosensitizers used for PDT-opening of the BBB. Figure 1-II,III demonstrate confocal imaging of the BBB permeability to FITCD before and after PDT. In the control groups (no PDT, no laser or PSs), FITCD was observed only in the cerebral vessels (Figure 1-I). PDT-5-ALA/AlPcS/ZnPc/GalZnPc induced strong FITCD extravasation from the cerebral vessels into the brain tissues. The FITCD leakage was visualized by fluorescence outside the vessel walls (Figure 1-II).

Spectrofluorimetric assay revealed laser dose-mediated increase in the BBB permeability to EBAC 1 h after PDT-5-ALA/AlPcS/ZnPc/GalZnPc. Figure 1-IV illustrates more pronounced effects of PDT-GalZnPc-Gal on the EBAC leakage compared with other types of PSs (GalZnPc/AlPcS: 29.54 ± 0.02 vs. 18.64 ± 0.03, p < 0.001 for 2.5 J/cm²; 31.80 ± 0.03 vs. 20.37 ± 0.08, p < 0.001 for 5 J/cm²; 33.93 ± 0.05 vs. 23.18 ± 0.07, p < 0.001 for 10 J/cm²; GalZnPc/ZnPc: 29.54 ± 0.02 vs. 12.55 ± 0.05, p < 0.001 for 2.5 J/cm²; 31.80 ± 0.03 vs. 14.12 ± 0.01, p < 0.001 for 5 J/cm²; 33.93 ± 0.05 vs. 15.44 ± 0.05, p < 0.001 for 10 J/cm²; GalZnPc/5-ALA: 29.54 ± 0.02 vs. 1.18 ± 0.02, p < 0.001 for 2.5 J/cm²; 31.80 ± 0.03 vs. 1.09 ± 0.02, p < 0.001 for 5 J/cm²; 33.93 ± 0.05 vs. 8.97 ± 0.05, p < 0.001 for 10 J/cm²). The level of EBAC in the brain tissues after PDT-GalZnPc/AlPcS/ZnPc/5-ALA was 89-62-48-22-fold higher compared with the control groups (average value for three control groups: the intact mice, no laser, no PSs), i.e., the effects of PDT-GalZnPc on the BBB were 1.4-1.8-4.0 greater than PDT-AlPc1/ZnPc/5-ALA, respectively. Thus, our results clearly demonstrate that all PSs are effective for PDT-mediated opening of the BBB. However, functionalized GalZnPc gives even a stronger increase in the BBB permeability than 5-ALA, AlPcS, and ZnPc, probably due to enhancing the transendothelial permeability by galactose [33,34].

No leakage of FITCD or EBAC was observed 4 h and 24 h after PDT, i.e., PDT-opening of the BBB is associated with a rapid recovery of the BBB integrity.

The PDT-opening of the BBB independent of the type of PSs was accompanied by a similar decrease in fluorescence of TJ proteins such as CLND, OCC, and JAM that can explain an increase in the BBB permeability to EBAC and FITCD in mice 1 h after PDT. It is important to note that the TJ fluorescence was over the normal value 4 h after PDT suggesting reversibility of PDT-mediated changes in the BBB leakage.

The excitation and emission spectra of the investigated phthalocyanines are quite similar (see Figure 2e–g), with the maxima of excitation and fluorescence respectively at 684 nm and 691 nm for AlPcS, 675 nm and 680 nm for ZnPc, and 703 and 710 nm for GalZnPc in polar solution. In biological tissues the maxima of emission are shifted by about 10–12 nm to a lower wavelength region due to the influence of the microenvironment on the photosensitizer molecules.
Figure 1. Photodynamic opening of the BBB using different PSs:
3.3. Fluorescence Spectra of Brain Tissues with Different PSs

In these studies, we evaluated the fluorescence signal from exogenous photosensitizers accumulated in brain tissues after opening of BBB. The excitation was used on 400 nm for all photosensitive drugs, using their Soret-band of absorption. The brain tissue fluorescence of a control group of three mice without exposure to exogenous photosensitive drugs was compared to that of photosensitized animals.

Initially, before red light irradiance of the brain, 5-ALA/PpIX fluorescence was pronounced in a higher degree, in comparison with that of one of phthalocyanine compounds. Moreover 60 min after irradiation with 635 nm due to opening of BBB barrier, an increase of this emission was observed in all animals treated, see Figure 2a,b. Some increase of the photosensitizer concentration into brain tissues was observed as well for different phthalocyanines used, namely AlPcS, ZnPc, and GalZnPc after irradiation at 670 nm, but in general the fluorescent intensity observed in the case of phthalocyanine accumulation in brain tissues is about 3 times lower than the emission observed from protoporphyrin IX. The results, obtained with GalZnPc treated animals, are presented in Figure 2c,d.
4. Discussion

In this pre-clinical work on healthy mice, we aimed to answer the question of what kind of photosensitizer is more appropriate for PDT-opening of the BBB. To answer this question, we studied PDT-opening of the BBB using commercial PS such as 5-ALA and AlPcS as well as new synthetized PS such as ZnPc and functionalized its form GalZnPc. Our results reveal a dose-dependent PDT opening of the BBB using all types of PS. The PDT-opening of the BBB using 5-ALA and AlPcS also have been shown by Hirschberg et al. [9], Madsen [10], and in our previous experiments [11–14]. Using spectrofluorimetric assay for quantitative analysis of the BBB permeability to EBAC and confocal imaging of FITC extravasation, our results demonstrate that PCs induce stronger ECAB leakage compared with 5-ALA. Among PCs, GalZnPc causes the more pronounced increase in the BBB permeability to EBAC vs. AlPcS, ZnPc. Phthalocyanines generate singlet oxygen directly under light irradiation [35], while 5-ALA does not produce single oxygen itself but only via metabolization in the
These facts can explain the lesser PDT-5-ALA opening of the BBB to EBAC compared with different forms of used phthalocyanines (AlPc\S, ZnPc, GalZnPc). The stronger effect on the BBB permeability by GalZnPc can be due to enhancing the transendothelial permeability by galactose via activation of protein kinase C (PKC), a family of kinases vital to intracellular signaling that is a common effect of monosaccharides on vascular endothelium [22,23].

To better understand mechanisms responsible for PDT-induced opening of the BBB to high molecular weight molecules (EBAC and FITCD), we explored PDT-related changes in the fluorescent signal of TJ trans-membrane proteins such as CLND, OCC, and JAM. The fluorescence of these molecular factors was analyzed before PDT (the control groups), 1 h after PDT (when BBB was opened), and 4 h after PDT (when the BBB was closed and completely recovered). Our results uncovered a decrease in the fluorescence of TJ proteins in the time of PDT-opening of the BBB with a rapid normalization of the fluorescence of these proteins till the moment of BBB closing.

These findings are consistent with data of others showing that PDT has a direct effect on increasing the gaps of the TJs between endothelial cells via changes of cytoskeleton and vascular tone loss due to microtubule depolymerization [37–39]. One of the mechanisms by which PDT opens the BBB can be an increase in the singlet oxygen/ROS production and development of oxidative stress that we observed in our previous work using 5-ALA [14] and that was also described for phthalocyanines [35]. We assume that a PDT-induced increase in oxidative stress and associated with these changes in TJ proteins might be one mechanism underlying PDT-related BBB opening.

5. Conclusions

Our pre-clinical results open a new application of PDT for drug brain delivery. The study of reversible PDT-opening of the BBB using commercial PS such as 5-ALA and AlPcS as well as newly synthesized ZnPc and GalZnPc reveal a stronger PDT-GalZnPc increase in the BBB permeability to EBAC and FITCD vs. other tested PS. These data suggest that PDT-GalZnPc may prove to be a more promising tool for the BBB opening and for drug brain delivery. The limitation of this work is the study of PDT-opening of the BBB in healthy mice. We expect that PDT-opening of the BBB might be a useful tool in the theranostics of gliomas that we will show in our further research. However, these findings represent a first important informative platform that may help the development of novel strategies in the therapy of brain diseases, via optimization of PDT protocol in the light of new insights of PDT effects on the BBB permeability.


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**Conflicts of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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